

# Natural Language Processing

Neural networks

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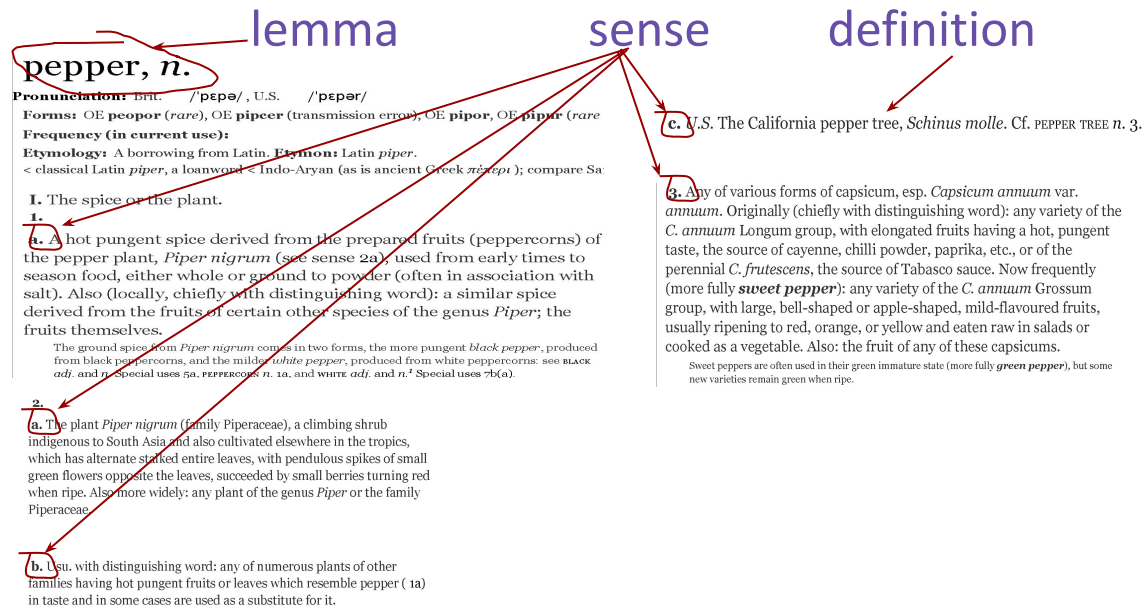
With many slides by Dan Jurafsky

# Readings

- Neutral networks chapter in J&M 3
- Advanced tutorial
- Hundreds of blog posts and tutorials

# Lexical semantics

- How should we represent the meaning of the word?
  - Words, lemmas, senses, definitions



<http://www.oed.com/>

# Problems with discrete representations

- Too coarse
  - *expert* ↔ *skillful*
- Sparse
  - *wicked, badass, ninja*
- Subjective
- Expensive
- Hard to compute word relationships

S: (adj) full, good  
 S: (adj) estimable, good, honorable, respectable  
 S: (adj) beneficial, good  
 S: (adj) good, just, upright  
 S: (adj) adept, expert, good, practiced, proficient, skillful  
 S: (adj) dear, good, near  
 S: (adj) good, right, ripe  
 ...  
 S: (adv) well, good  
 S: (adv) thoroughly, soundly, good  
 S: (n) good, goodness  
 S: (n) commodity, trade good, good

*expert* [0 0 0 **1** 0 0 0 0 0 0 0 0 0 0 0]  
*skillful* [0 0 0 0 0 0 0 0 0 0 0 **1** 0 0 0]

- dimensionality: PTB: 50K, Google1T 13M

# Distributional hypothesis

“The meaning of a word is its use in the language”

[Wittgenstein PI 43]

“You shall know a word by the company it keeps”

[Firth 1957]

If A and B have almost identical environments we say that they are synonyms.

[Harris 1954]

# Example

- Suppose you see these sentences:
  - Ongchoi is delicious **sautéed with garlic**.
  - Ongchoi is superb **over rice**
  - Ongchoi **leaves** with salty sauces
- And you've also seen these:
  - ...spinach **sautéed with garlic over rice**
  - Chard stems and **leaves** are delicious
  - Collard greens and other **salty** leafy greens

# Ongchoi: *Ipomoea aquatica* "Water Spinach"

Ongchoi is a leafy green like spinach, chard, or collard greens

空心菜  
*kangkong*  
rau muống  
...



Yamaguchi, Wikimedia Commons, public domain

# Model of meaning focusing on similarity

- Each word = a vector
  - not just “word” or word45.
  - similar words are “nearby in space”
  - We build this space automatically by seeing which words are nearby in text





# Word embeddings or word vectors

<b>WORD</b>	<b>d1</b>	<b>d2</b>	<b>d3</b>	<b>d4</b>	<b>d5</b>	<b>...</b>	<b>d50</b>
summer	0.12	0.21	0.07	0.25	0.33	...	0.51
spring	0.19	0.57	0.99	0.30	0.02	...	0.73
fall	0.53	0.77	0.43	0.20	0.29	...	0.85
light	0.00	0.68	0.84	0.45	0.11	...	0.03
clear	0.27	0.50	0.21	0.56	0.25	...	0.32
blizzard	0.15	0.05	0.64	0.17	0.99	...	0.23

# We'll discuss 2 kinds of embeddings

- **tf-idf**

- Information Retrieval workhorse!
- A common baseline model
- **Sparse** vectors
- Words are represented by (a simple function of) the counts of nearby words

- **Word2vec**

- **Dense** vectors
- Representation is created by training a classifier to predict whether a word is likely to appear nearby
- <https://fasttext.cc/docs/en/crawl-vectors.html>
- Later we'll discuss extensions called **contextual embeddings**

# Word-word matrix (“term-context matrix”)

	knife	dog	sword	love	like
knife	0	1	6	5	5
dog	1	0	5	5	5
sword	6	5	0	5	5
love	5	5	5	0	5
like	5	5	5	5	2

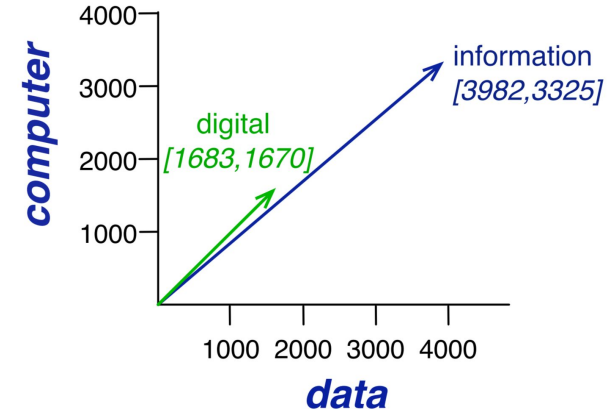
- Two words are “similar” in meaning if their context vectors are similar
  - Similarity == relatedness

# Term-context matrix

Two **words** are similar in meaning if their context vectors are similar

is traditionally followed by **cherry** pie, a traditional dessert  
 often mixed, such as **strawberry** rhubarb pie. Apple pie  
 computer peripherals and personal **digital** assistants. These devices usually  
 a computer. This includes **information** available on the internet

	aardvark	...	computer	data	result	pie	sugar	...
cherry	0	...	2	8	9	442	25	...
strawberry	0	...	0	0	1	60	19	...
digital	0	...	1670	1683	85	5	4	...
information	0	...	3325	3982	378	5	13	...



# Cosine for computing word similarity

The dot product between two vectors is a scalar:

$$\text{dot product}(\mathbf{v}, \mathbf{w}) = \mathbf{v} \cdot \mathbf{w} = \sum_{i=1}^N v_i w_i = v_1 w_1 + v_2 w_2 + \dots + v_N w_N$$

- The dot product tends to be high when the two vectors have large values in the same dimensions
- Dot product can thus be a useful similarity metric between vectors

# Problem with raw dot-product

- Dot product favors long vectors
  - Dot product is higher if a vector is longer (has higher values in many dimension)Vector length:

$$|\mathbf{v}| = \sqrt{\sum_{i=1}^N v_i^2}$$

- Frequent words (of, the, you) have long vectors (since they occur many times with other words).
  - So dot product overly favors frequent words

# Alternative: cosine for computing word similarity

$$\text{cosine}(\vec{v}, \vec{w}) = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}| |\vec{w}|} = \frac{\sum_{i=1}^N v_i w_i}{\sqrt{\sum_{i=1}^N v_i^2} \sqrt{\sum_{i=1}^N w_i^2}}$$

Based on the definition of the dot product between two vectors  $\mathbf{a}$  and  $\mathbf{b}$

$$\begin{aligned} \mathbf{a} \cdot \mathbf{b} &= |\mathbf{a}| |\mathbf{b}| \cos \theta \\ \frac{\mathbf{a} \cdot \mathbf{b}}{|\mathbf{a}| |\mathbf{b}|} &= \cos \theta \end{aligned}$$

# Cosine examples

$$\cos(\vec{v}, \vec{w}) = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}| |\vec{w}|} = \frac{\vec{v}}{|\vec{v}|} \cdot \frac{\vec{w}}{|\vec{w}|} = \frac{\sum_{i=1}^N v_i w_i}{\sqrt{\sum_{i=1}^N v_i^2} \sqrt{\sum_{i=1}^N w_i^2}}$$

	pie	data	computer
cherry	442	8	2
digital	114	80	62
information	36	58	1

$$\cos(\text{cherry}, \text{information}) =$$

$$\frac{442 * 5 + 8 * 3982 + 2 * 3325}{\sqrt{442^2 + 8^2 + 2^2} \sqrt{5^2 + 3982^2 + 3325^2}} = .017$$

$$\cos(\text{digital}, \text{information}) =$$

$$\frac{5 * 5 + 1683 * 3982 + 1670 * 3325}{\sqrt{5^2 + 1683^2 + 1670^2} \sqrt{5^2 + 3982^2 + 3325^2}} = .996$$



# Count-based representations

	knife	dog	sword	love	like
knife	0	1	6	5	5
dog	1	0	5	5	5
sword	6	5	0	5	5
love	5	5	5	0	5
like	5	5	5	5	2

- Counts: term-frequency
  - remove stop words
  - use  $\log_{10}(\text{tf})$

# But raw frequency is a bad representation

- The co-occurrence matrices we have seen represent each cell by word frequencies
- Frequency is clearly useful; if **sugar** appears a lot near **apricot**, that's useful information
- But overly frequent words like **the**, **it**, or **they** are not very informative about the context
- It's a paradox! How can we balance these two conflicting constraints?

# Two common solutions for word weighting

**tf-idf:** tf-idf value for word  $t$  in document  $d$ :

$$w_{t,d} = \text{tf}_{t,d} \times \text{idf}_t$$

Words like “the” or “it” have very low idf

**PMI:** Pointwise mutual information

$$\text{PMI}(w_1, w_2) = \log \frac{p(w_1, w_2)}{p(w_1)p(w_2)}$$

See if words like “good” appear more often with “great” than we would expect by chance

# TF-IDF

- What to do with words that are evenly distributed across many documents?

$$\text{tf}_{t,d} = \log_{10}(\text{count}(t,d) + 1)$$

$$\text{idf}_i = \log \left( \frac{N}{\text{df}_i} \right)$$

Total # of docs in collection

# of docs that have word  $i$

Words like "the" or "good" have very low idf

$$w_{t,d} = \text{tf}_{t,d} \times \text{idf}_t$$

# Positive Pointwise Mutual Information (PPMI)

- In word--context matrix
- Do words  $w$  and  $c$  co-occur more than if they were independent?

$$\text{PMI}(w, c) = \log_2 \frac{P(w, c)}{P(w)P(c)}$$

$$\text{PPMI}(w, c) = \max\left(\log_2 \frac{P(w, c)}{P(w)P(c)}, 0\right)$$

- PMI is biased toward infrequent events
  - Very rare words have very high PMI values
  - Give rare words slightly higher probabilities  $\alpha=0.75$

$$\text{PPMI}_\alpha(w, c) = \max\left(\log_2 \frac{P(w, c)}{P(w)P_\alpha(c)}, 0\right)$$

$$P_\alpha(c) = \frac{\text{count}(c)^\alpha}{\sum_c \text{count}(c)^\alpha}$$

# We'll discuss 2 kinds of embeddings

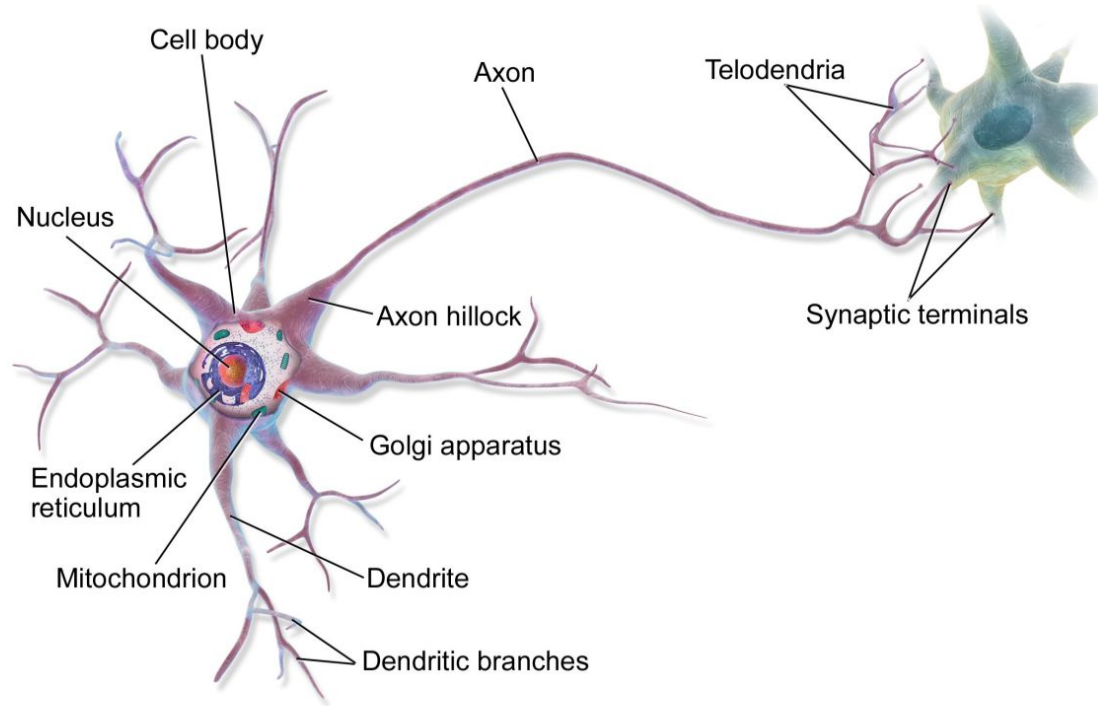
- **tf-idf**

- Information Retrieval workhorse!
- A common baseline model
- **Sparse** vectors
- Words are represented by (a simple function of) the counts of nearby words

- **Word2vec**

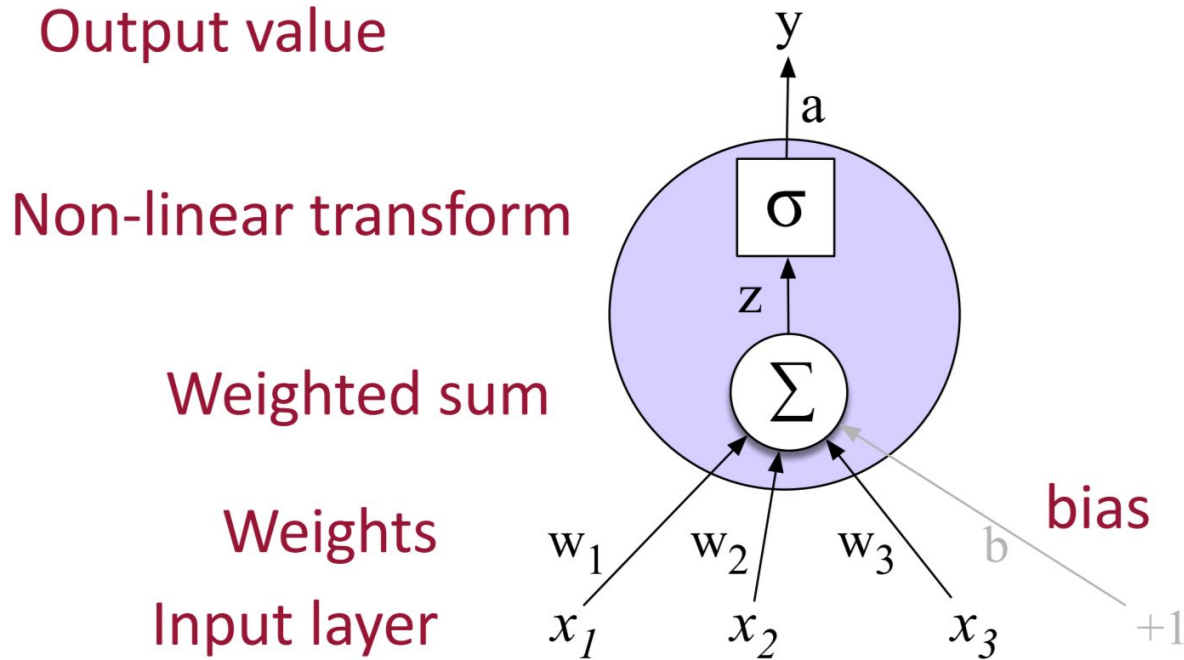
- **Dense** vectors
- Representation is created by training a classifier to predict whether a word is likely to appear nearby
- <https://fasttext.cc/docs/en/crawl-vectors.html>
- Later we'll discuss extensions called **contextual embeddings**

# This is in your brain



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<https://commons.wikimedia.org/w/index.php?curid=28761830>

# Neural Network Unit (this is not in your brain)





# Neural unit

- Take weighted sum of inputs, plus a bias

$$z = b + \sum_i w_i x_i$$

$$z = w \cdot x + b$$

- Instead of just using  $z$ , we'll apply a nonlinear activation function  $f$ :

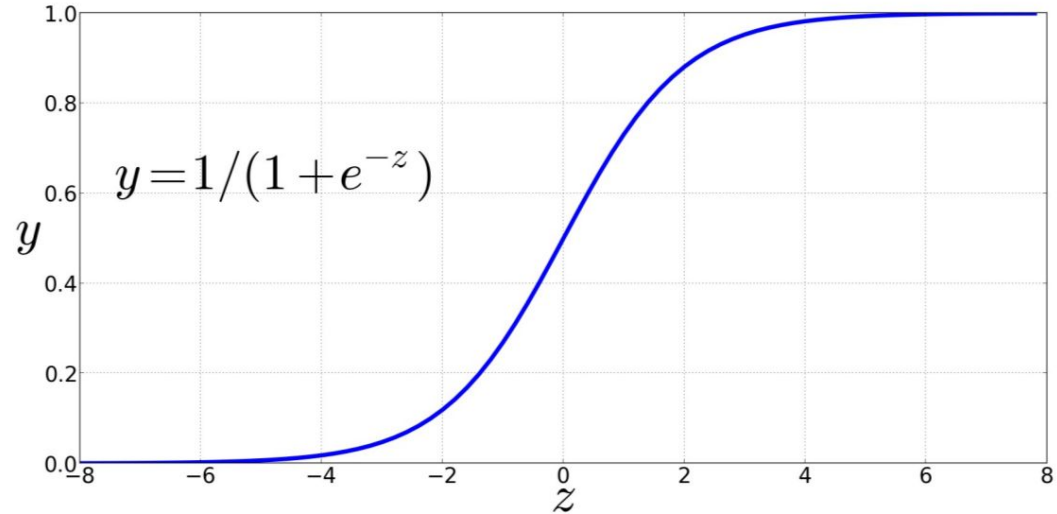
$$y = a = f(z)$$

# Non-Linear Activation Functions

- We've already seen the sigmoid for logistic regression:

**Sigmoid**

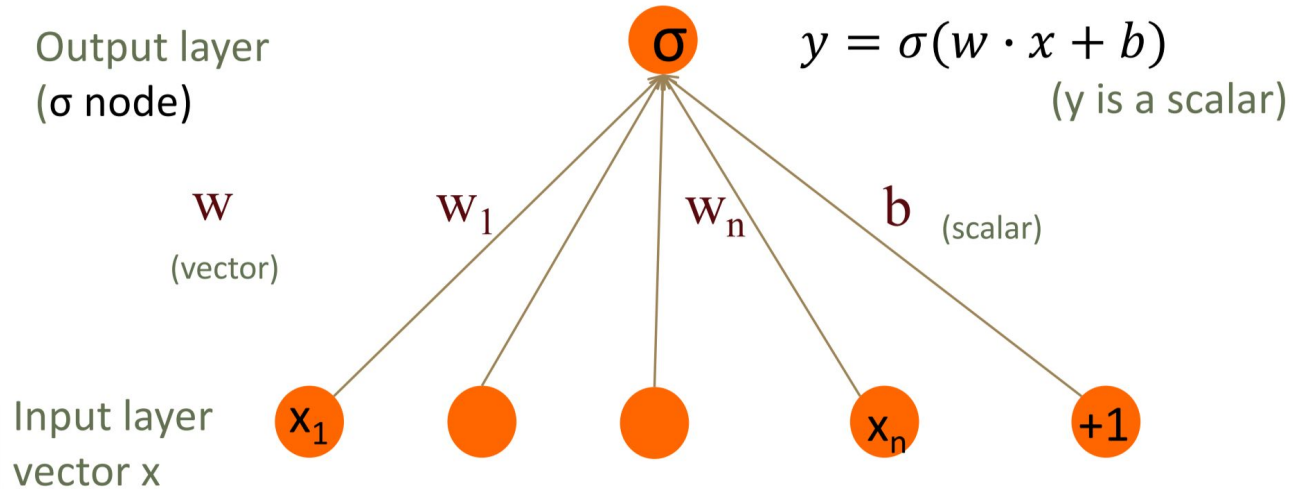
$$y = \sigma(z) = \frac{1}{1 + e^{-z}}$$



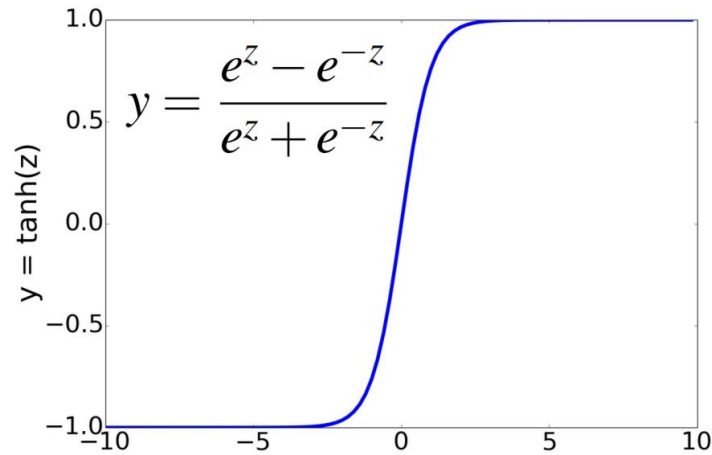
# Final function the unit is computing

$$y = \sigma(w \cdot x + b) = \frac{1}{1 + \exp(-(w \cdot x + b))}$$

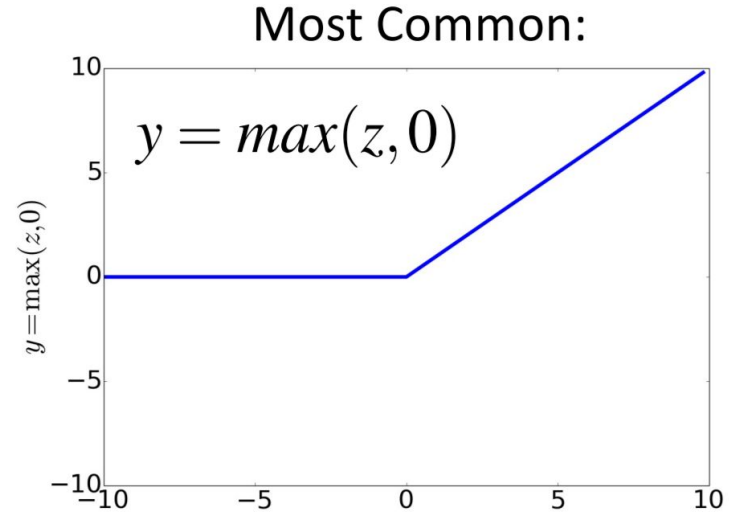
# Binary Logistic Regression as a 1-layer network



# Non-Linear Activation Functions besides sigmoid

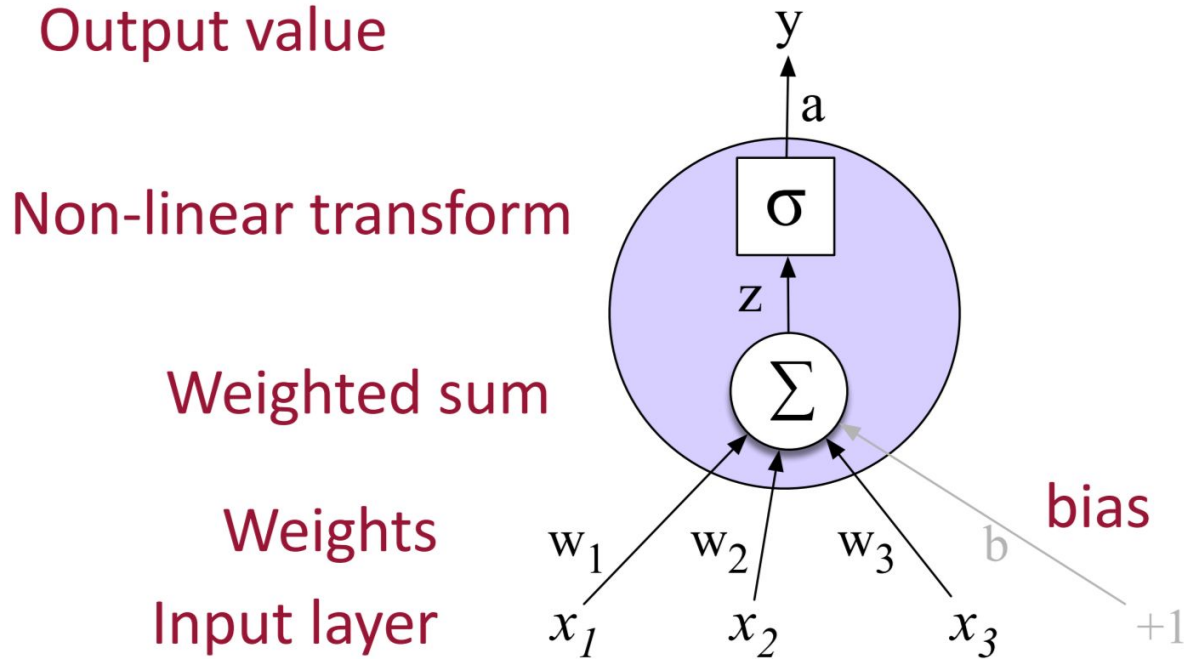


**tanh**



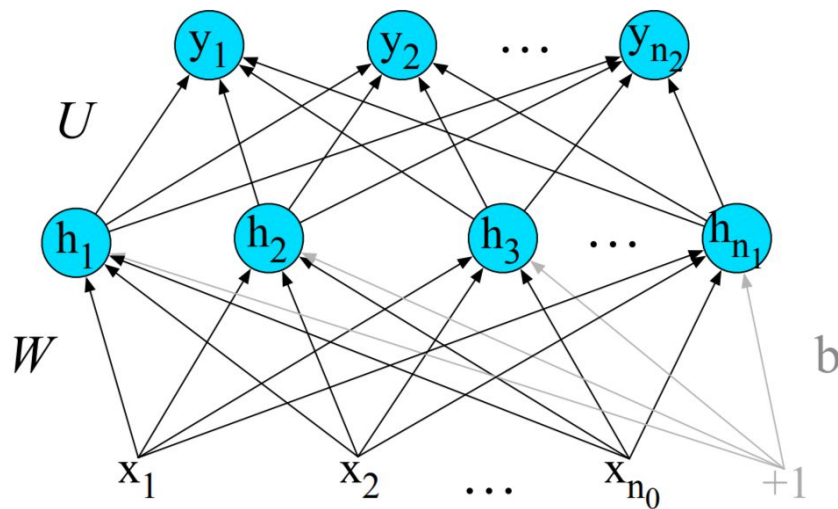
**ReLU**  
**Rectified Linear Unit**

# Final unit again



# Feedforward Neural Networks

- Can also be called multi-layer perceptrons (or MLPs) for historical reasons
  - (we don't count the input layer in counting layers!)







# softmax: a generalization of sigmoid

- For a vector  $z$  of dimensionality  $k$ , the softmax is:

$$\text{softmax}(z) = \left[ \frac{\exp(z_1)}{\sum_{i=1}^k \exp(z_i)}, \frac{\exp(z_2)}{\sum_{i=1}^k \exp(z_i)}, \dots, \frac{\exp(z_k)}{\sum_{i=1}^k \exp(z_i)} \right]$$

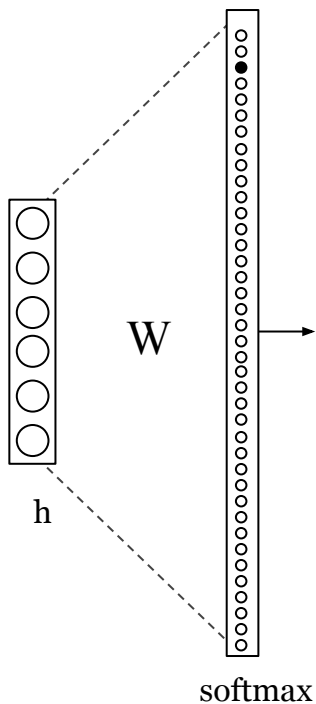
$$\text{softmax}(z_i) = \frac{\exp(z_i)}{\sum_{j=1}^k \exp(z_j)} \quad 1 \leq i \leq k$$

Example:

$$z = [0.6, 1.1, -1.5, 1.2, 3.2, -1.1]$$

$$\text{softmax}(z) = [0.055, 0.090, 0.006, 0.099, 0.74, 0.010]$$

# softmax



$$\text{softmax}(z) = \left[ \frac{\exp(z_1)}{\sum_{i=1}^k \exp(z_i)}, \frac{\exp(z_2)}{\sum_{i=1}^k \exp(z_i)}, \dots, \frac{\exp(z_k)}{\sum_{i=1}^k \exp(z_i)} \right]$$

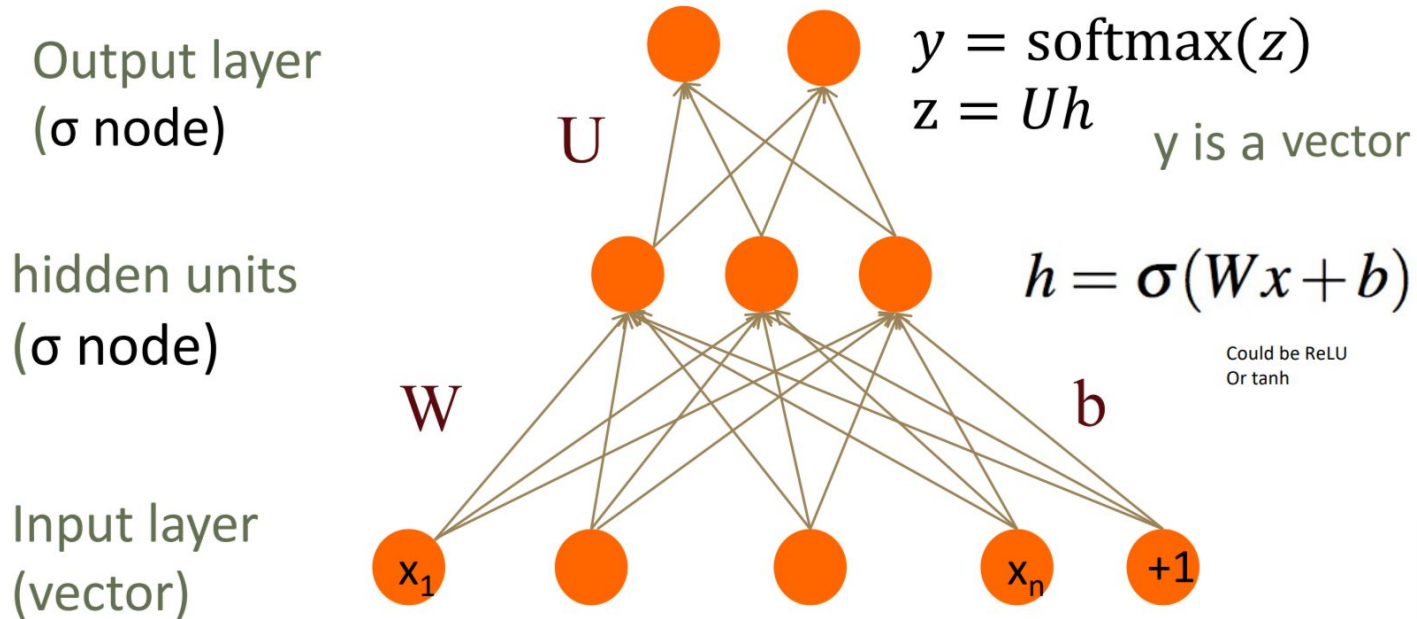
$$\text{softmax}(z_i) = \frac{\exp(z_i)}{\sum_{j=1}^k \exp(z_j)} \quad 1 \leq i \leq k$$

Example:

$$z = [0.6, 1.1, -1.5, 1.2, 3.2, -1.1]$$

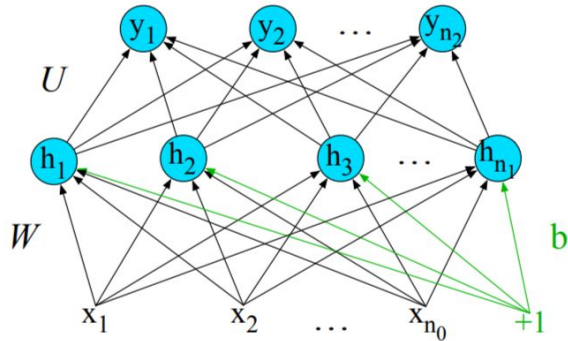
$$\text{softmax}(z) = [0.055, 0.090, 0.006, 0.099, 0.74, 0.010]$$

# Two-Layer Network with softmax output

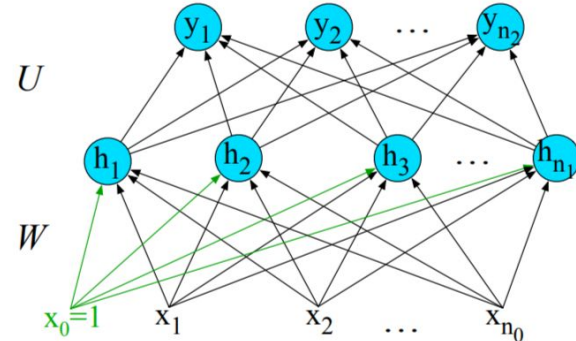


# Replacing the bias unit

Instead of:



We'll do this:



# Learning the weights

- Cross-entropy loss
- Backpropagation algorithm

---

## Algorithm 1 Backpropagation Algorithm

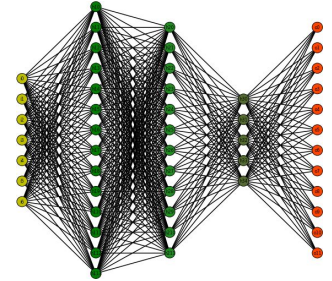
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```

1: procedure TRAIN
2:    $X \leftarrow$  Training Data Set of size  $m \times n$ 
3:    $y \leftarrow$  Labels for records in  $X$ 
4:    $w \leftarrow$  The weights for respective layers
5:    $l \leftarrow$  The number of layers in the neural network,  $1 \dots L$ 
6:    $D_{ij}^{(l)} \leftarrow$  The error for all  $l, i, j$ 
7:    $t_{ij}^{(l)} \leftarrow 0$ . For all  $l, i, j$ 
8:   For  $i = 1$  to  $m$ 
9:      $a^l \leftarrow \text{feedforward}(x^{(i)}, w)$ 
10:     $d^l \leftarrow a(L) - y(i)$ 
11:     $t_{ij}^{(l)} \leftarrow t_{ij}^{(l)} + a_j^{(l)} \cdot t_i^{l+1}$ 
12:    if  $j \neq 0$  then
13:       $D_{ij}^{(l)} \leftarrow \frac{1}{m} t_{ij}^{(l)} + \lambda w_{ij}^{(l)}$ 
14:    else
15:       $D_{ij}^{(l)} \leftarrow \frac{1}{m} t_{ij}^{(l)}$ 
16:      where  $\frac{\partial}{\partial w_{ij}^{(l)}} J(w) = D_{ij}^{(l)}$ 

```

---



# Applying neural networks to NLP tasks



# Use cases for feedforward networks

- Word representations
- Text classification
- Language modeling

State of the art systems use more powerful neural architectures (we will learn transformers architectures on Monday), but simple models are useful to consider!

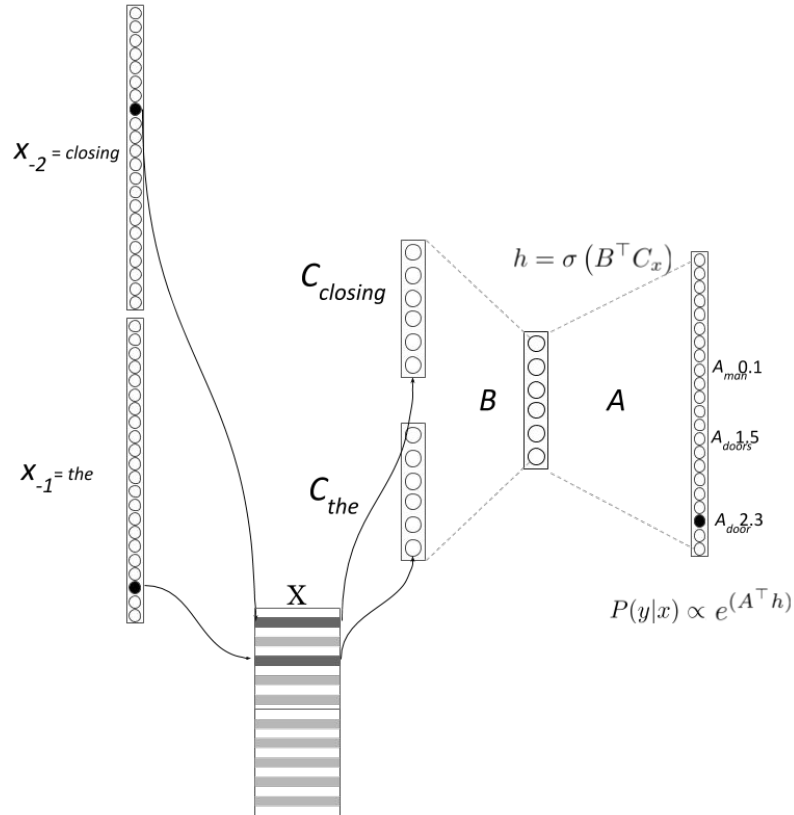
# Distributed representations

## Word Vectors

WORD	d1	d2	d3	d4	d5	...	d50
summer	0.12	0.21	0.07	0.25	0.33	...	0.51
spring	0.19	0.57	0.99	0.30	0.02	...	0.73
fall	0.53	0.77	0.43	0.20	0.29	...	0.85
light	0.00	0.68	0.84	0.45	0.11	...	0.03
clear	0.27	0.50	0.21	0.56	0.25	...	0.32
blizzard	0.15	0.05	0.64	0.17	0.99	...	0.23



# “One hot” vectors and dense word vectors (embeddings)



# Low-dimensional word representations

- Learning representations by back-propagating errors
  - Rumelhart, Hinton & Williams, 1986
- A neural probabilistic language model
  - Bengio et al., 2003
- Natural Language Processing (almost) from scratch
  - Collobert & Weston, 2008
- Word representations: A simple and general method for semi-supervised learning
  - Turian et al., 2010
- Distributed Representations of Words and Phrases and their Compositionality
  - Word2Vec; Mikolov et al., 2013

# Word2Vec

- Popular embedding method
- Very fast to train
- Code available on the web
- Idea: predict rather than count



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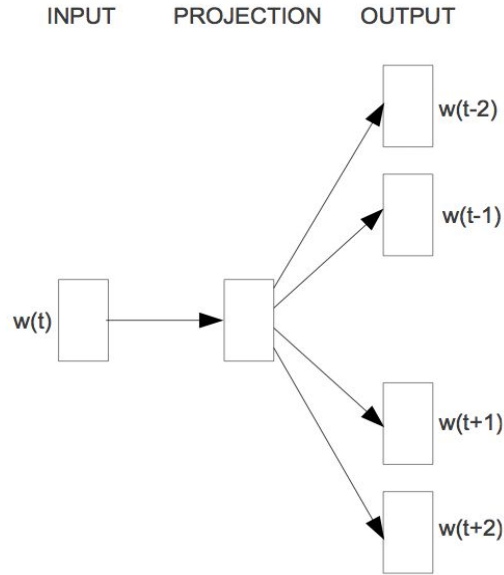
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Projects 0

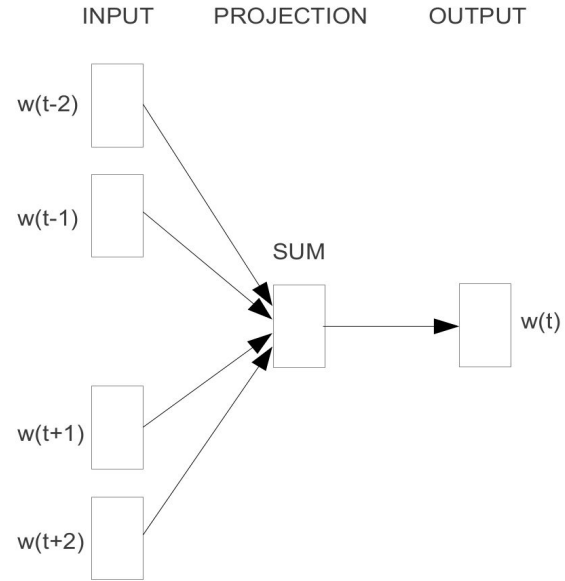
Security

Insights

# Word2Vec



**Skip-gram**



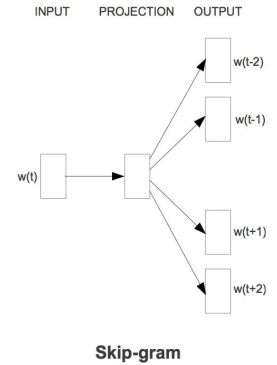
**CBOW**

- [Mikolov et al.' 13]

# Skip-gram Prediction

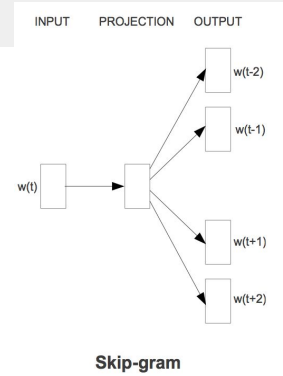
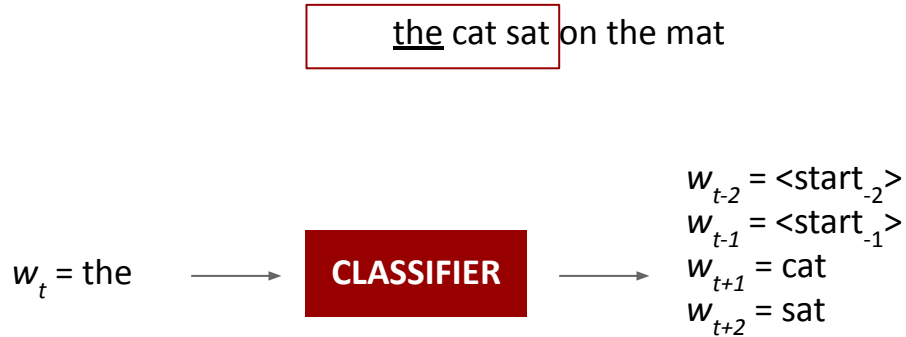
- Predict vs Count

the cat sat on the mat



# Skip-gram Prediction

- Predict vs Count



context size = 2

# Skip-gram Prediction

- Predict vs Count

the cat sat on the mat

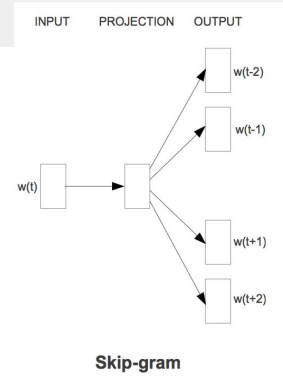
$w_t = \text{cat}$



**CLASSIFIER**



$w_{t-2} = \langle \text{start}_{-1} \rangle$   
 $w_{t-1} = \text{the}$   
 $w_{t+1} = \text{sat}$   
 $w_{t+2} = \text{on}$



context size = 2

# Skip-gram Prediction

- Predict vs Count

the cat sat on the mat

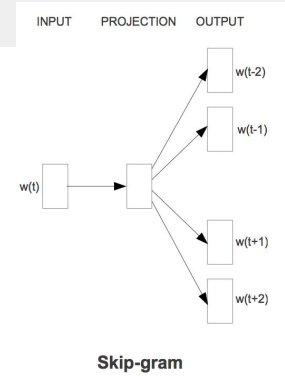
$w_t = \text{sat}$



**CLASSIFIER**



$w_{t-2} = \text{the}$   
 $w_{t-1} = \text{cat}$   
 $w_{t+1} = \text{on}$   
 $w_{t+2} = \text{the}$



context size = 2



# Skip-gram Prediction

- Predict vs Count

the cat sat on the mat

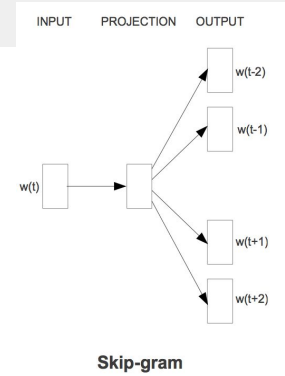
$w_t = \text{on}$



**CLASSIFIER**



$w_{t-2} = \text{cat}$   
 $w_{t-1} = \text{sat}$   
 $w_{t+1} = \text{the}$   
 $w_{t+2} = \text{mat}$



context size = 2

# Skip-gram Prediction

- Predict vs Count

the cat sat on the mat

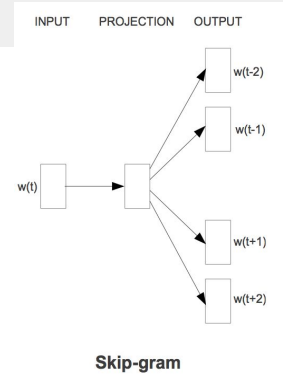
$w_t = \text{the}$



**CLASSIFIER**



$w_{t-2} = \text{sat}$   
 $w_{t-1} = \text{on}$   
 $w_{t+1} = \text{mat}$   
 $w_{t+2} = \langle \text{end}_{+1} \rangle$



context size = 2

# Skip-gram Prediction

- Predict vs Count

the cat sat on the mat

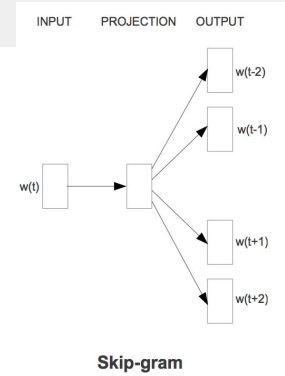
$w_t = \text{mat}$



**CLASSIFIER**



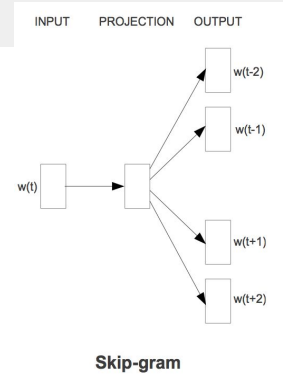
$w_{t-2} = \text{on}$   
 $w_{t-1} = \text{the}$   
 $w_{t+1} = \langle \text{end}_{+1} \rangle$   
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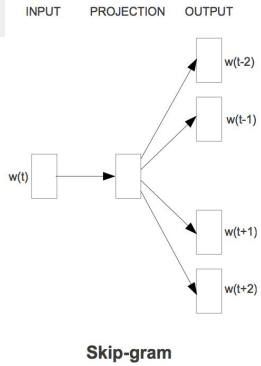
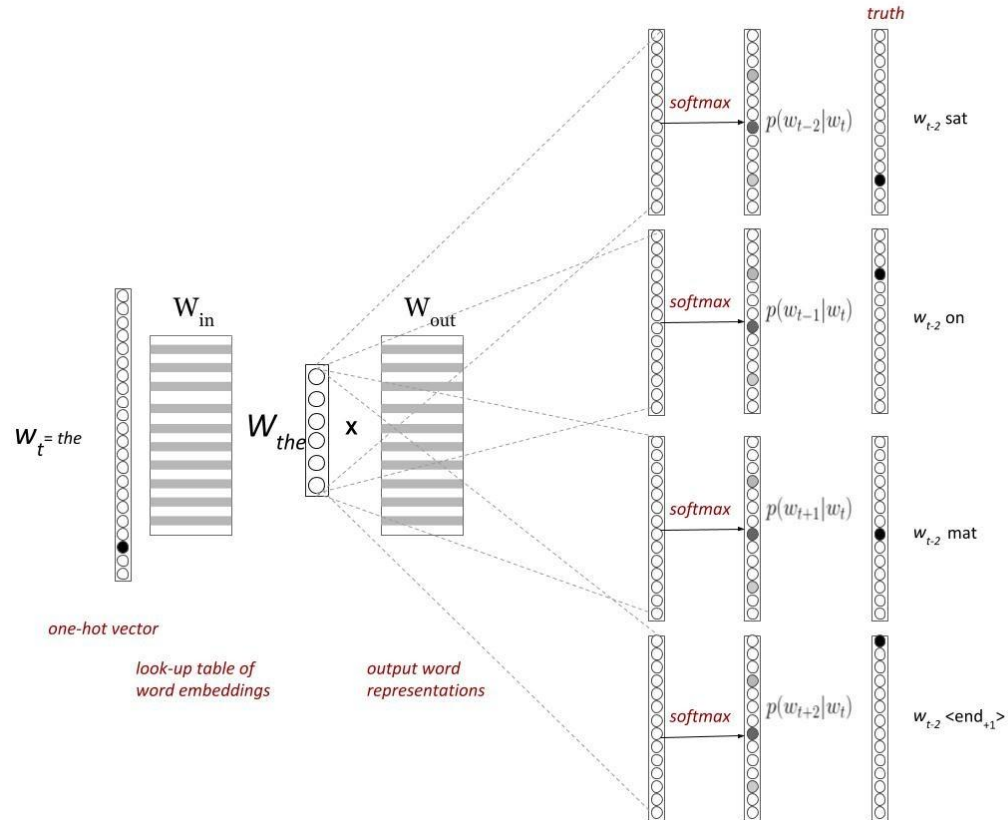
context size = 2

# Skip-gram Prediction

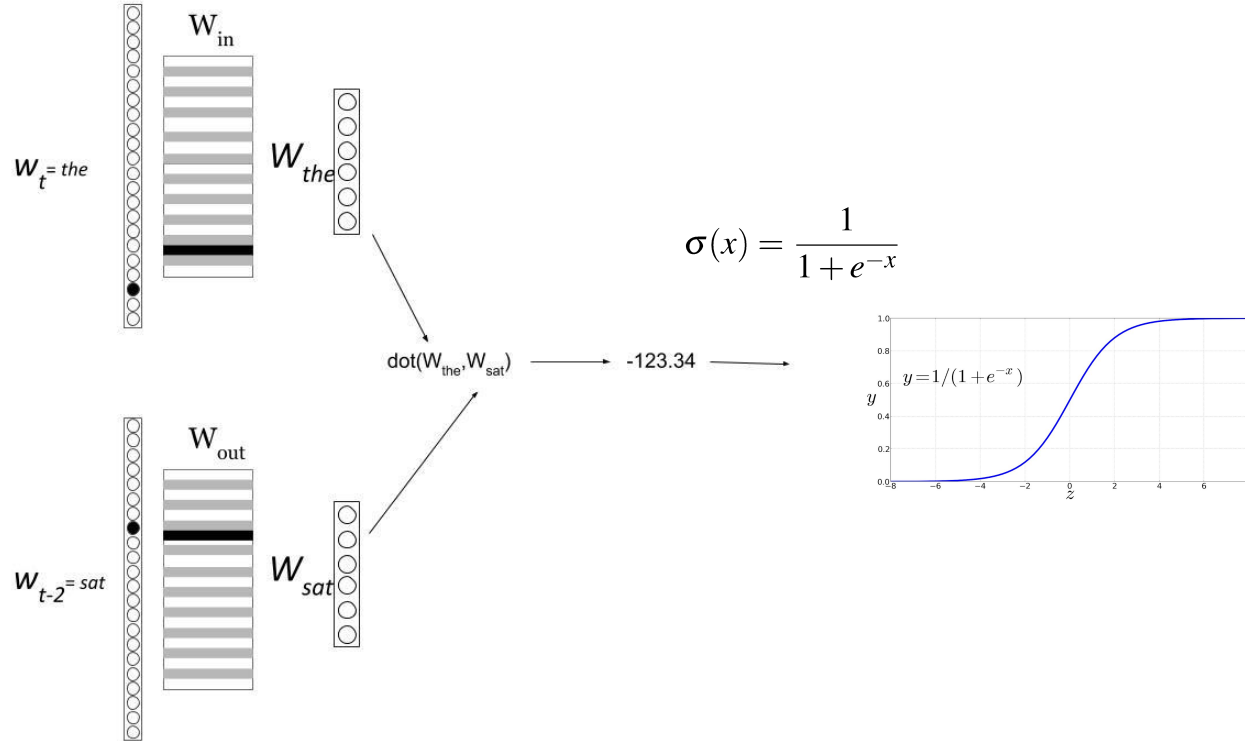
- Predict vs Count



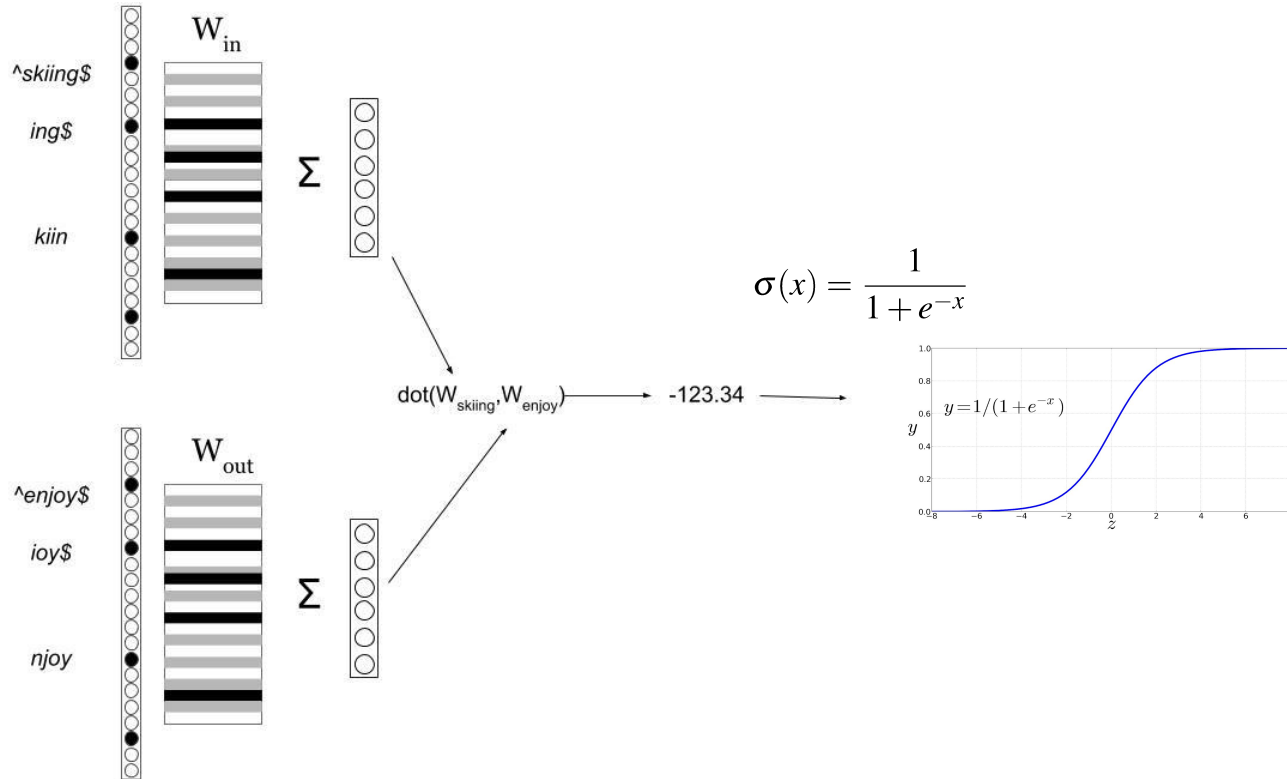
# Skip-gram Prediction



# How to compute $p(+ | t, c)$ ?



# FastText



# SGNS

Given a tuple  $(t,c)$  = target, context

- (cat, sat)
- (cat, aardvark)

Return probability that  $c$  is a real context word:

$$P(+|t,c) = \frac{1}{1 + e^{-t \cdot c}}$$

$$\begin{aligned} P(-|t,c) &= 1 - P(+|t,c) \\ &= \frac{e^{-t \cdot c}}{1 + e^{-t \cdot c}} \end{aligned}$$



# Learning the classifier

- Iterative process
  - We'll start with 0 or random weights
  - Then adjust the word weights to
    - make the positive pairs more likely
    - and the negative pairs less likely
  - over the entire training set:

$$\sum_{(t,c) \in +} \log P(+|t, c) + \sum_{(t,c) \in -} \log P(-|t, c)$$

- Train using gradient descent

# BERT

## **BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding**

**Jacob Devlin   Ming-Wei Chang   Kenton Lee   Kristina Toutanova**

Google AI Language

{jacobdevlin, mingweichang, kentonl, kristout}@google.com

<https://ai.googleblog.com/2018/11/open-sourcing-bert-state-of-art-pre.html>

# Use cases for feedforward networks

- Word representations
- Text classification
- Language modeling

State of the art systems use more powerful neural architectures (we will learn transformers architectures on Monday), but simple models are useful to consider!

# Neural LMs

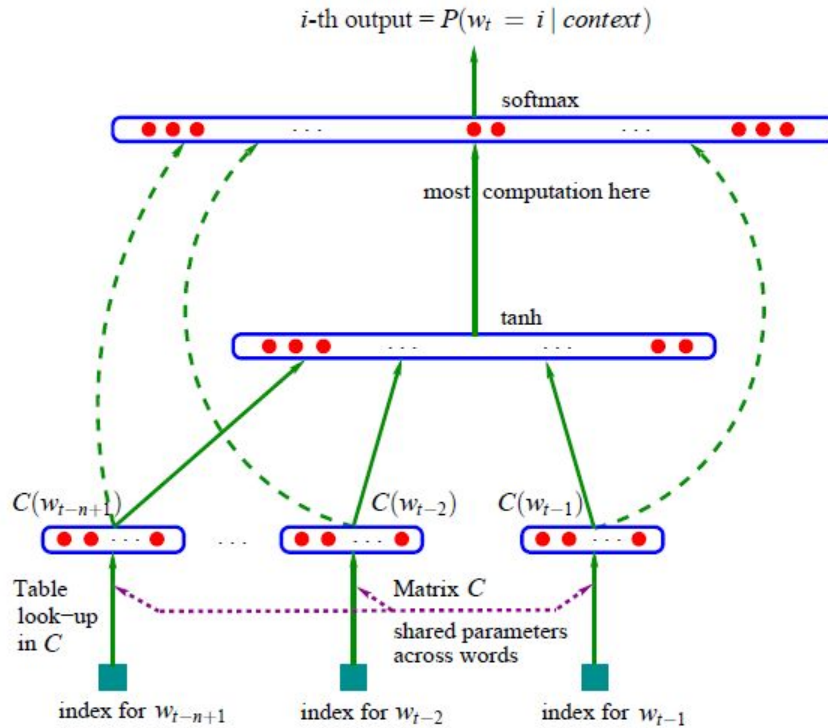


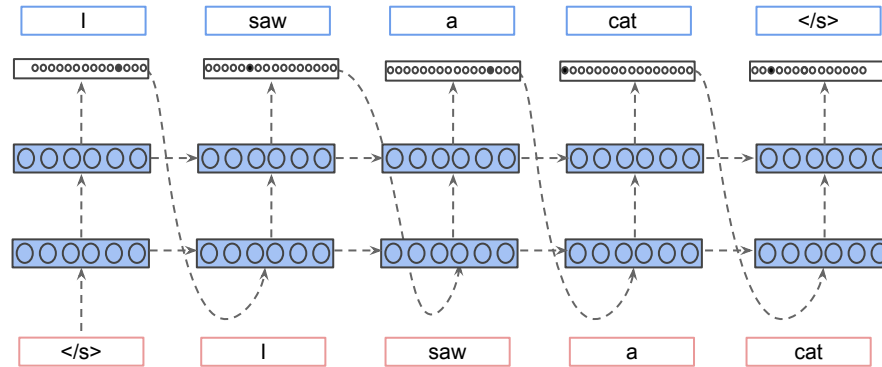
Image: (Bengio et al, 03)

# Neural LMs

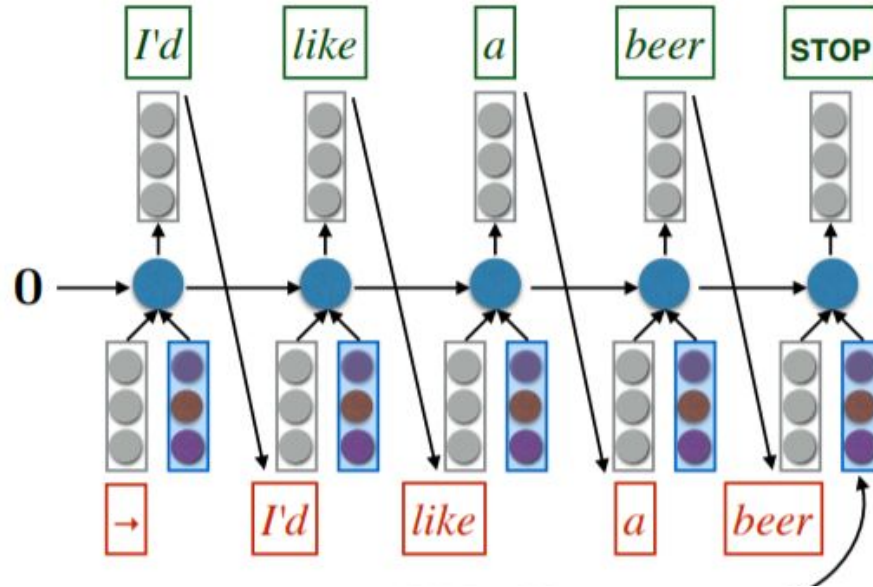
	n	c	h	m	direct	mix	train.	valid.	test.
MLP1	5		50	60	yes	no	182	284	268
MLP2	5		50	60	yes	yes		275	257
MLP3	5		0	60	yes	no	201	327	310
MLP4	5		0	60	yes	yes		286	272
MLP5	5		50	30	yes	no	209	296	279
MLP6	5		50	30	yes	yes		273	259
MLP7	3		50	30	yes	no	210	309	293
MLP8	3		50	30	yes	yes		284	270
MLP9	5		100	30	no	no	175	280	276
MLP10	5		100	30	no	yes		265	<b>252</b>
Kneser-Ney back-off	3							334	323
Kneser-Ney back-off	4							332	321
Kneser-Ney back-off	5							332	321

(Bengio et al, 03)

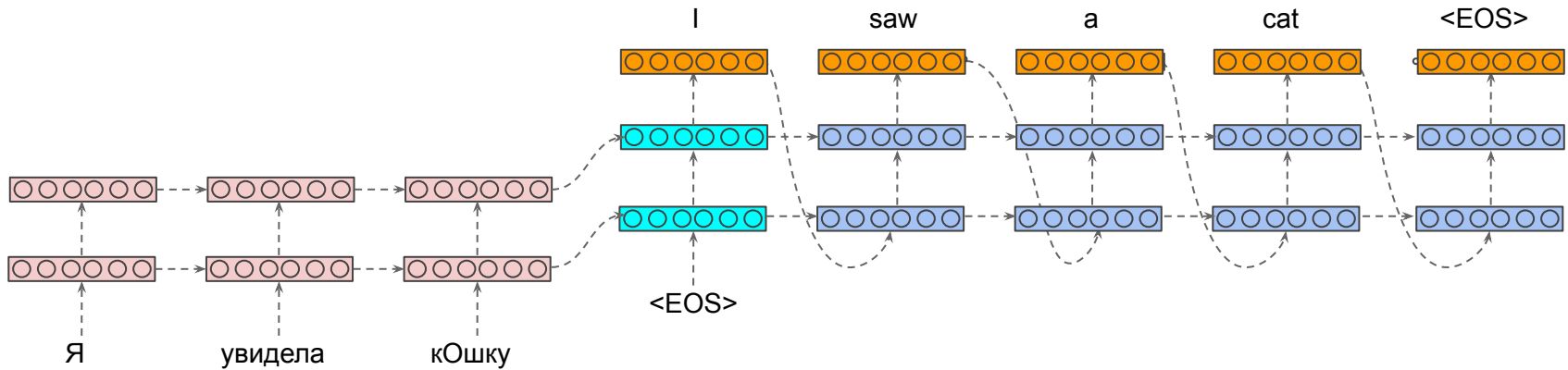
# Recurrent LMs



# Recurrent LMs



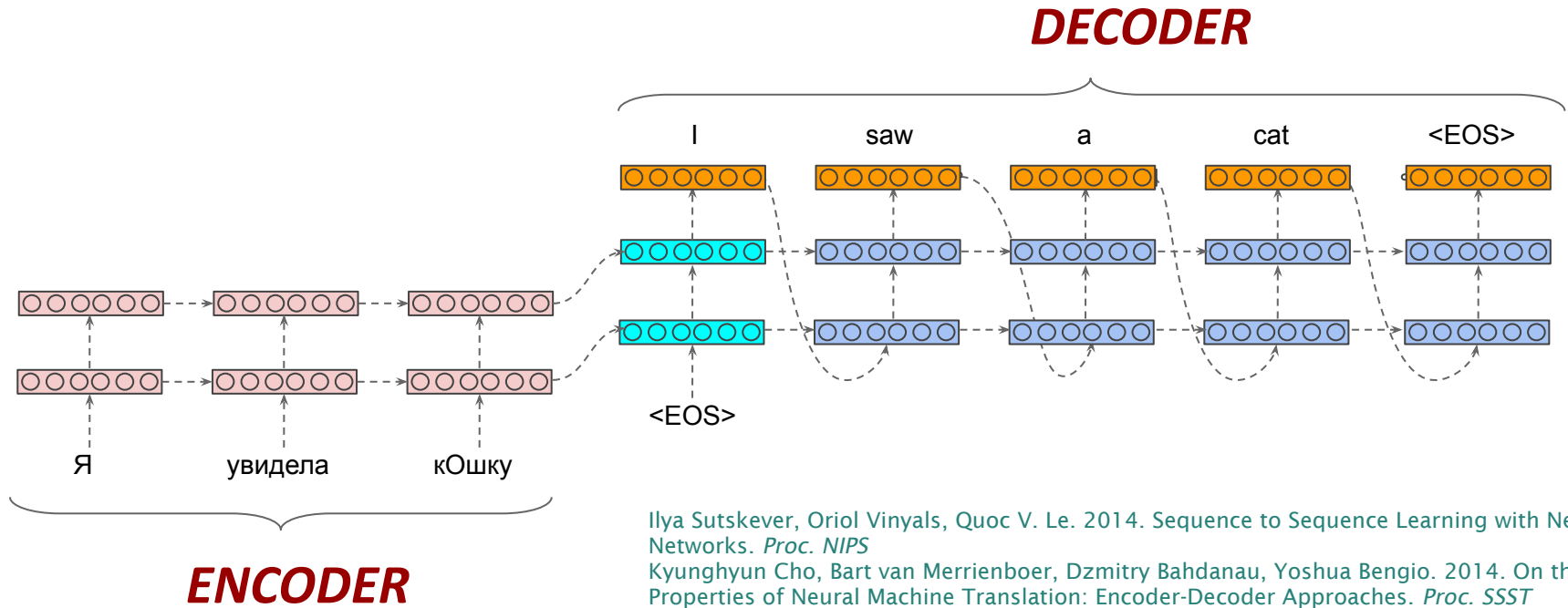
# Sequence-to-Sequence Models



Ilya Sutskever, Oriol Vinyals, Quoc V. Le. 2014. Sequence to Sequence Learning with Neural Networks. Proc. NIPS

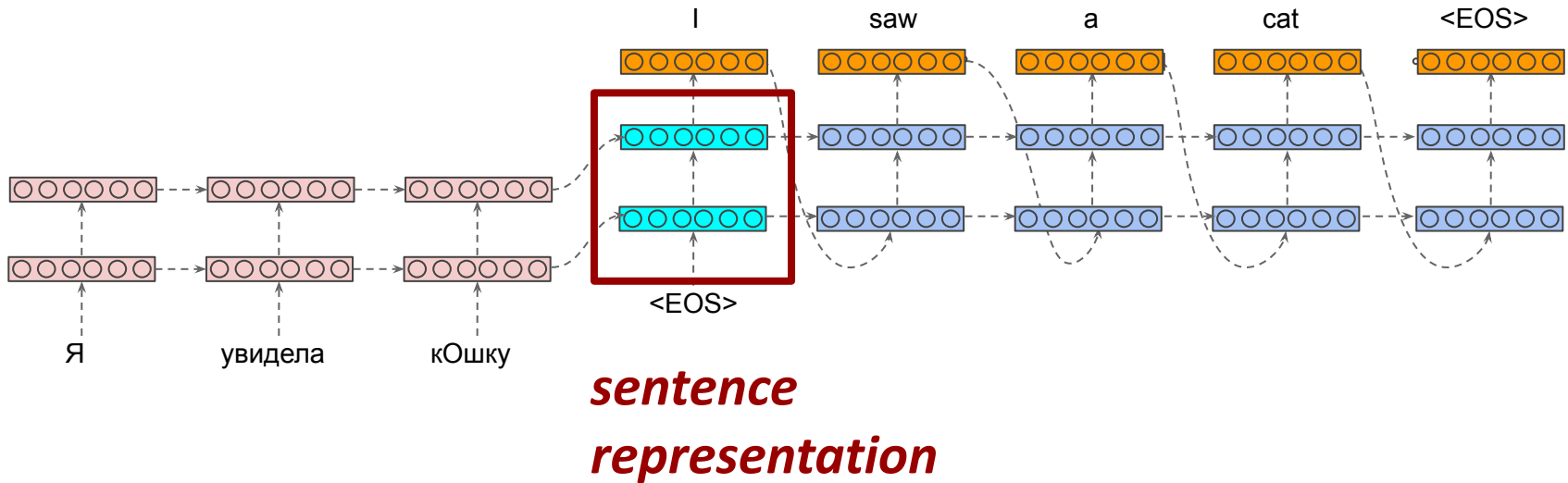


# Sequence-to-Sequence Models for Neural Machine Translation

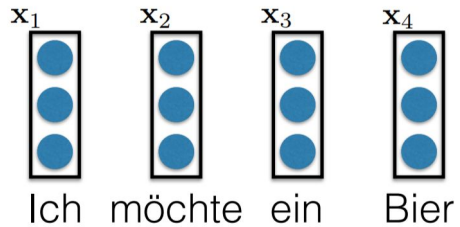


Ilya Sutskever, Oriol Vinyals, Quoc V. Le. 2014. Sequence to Sequence Learning with Neural Networks. *Proc. NIPS*  
 Kyunghyun Cho, Bart van Merriënboer, Dzmitry Bahdanau, Yoshua Bengio. 2014. On the Properties of Neural Machine Translation: Encoder-Decoder Approaches. *Proc. SSST*

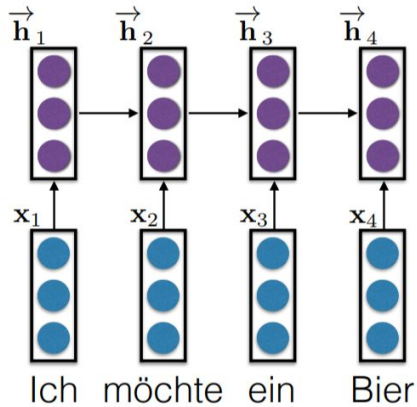
# Sequence-to-Sequence Models for NMT



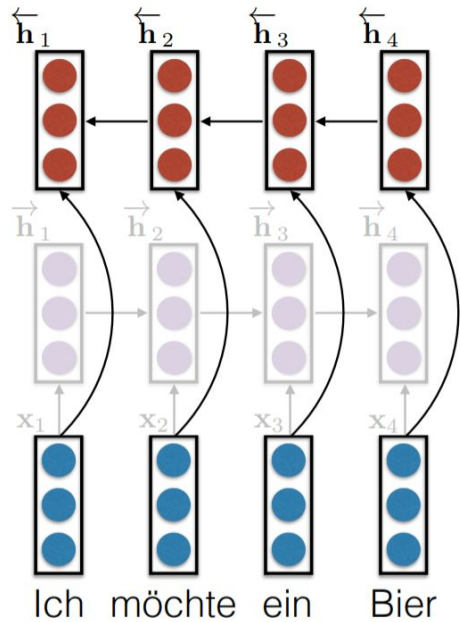
# Encoder: Bidirectional RNN



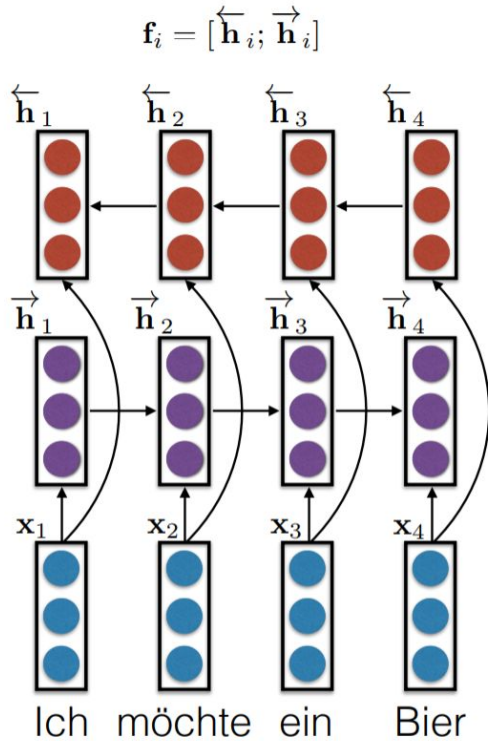
# Encoder: Bidirectional RNN



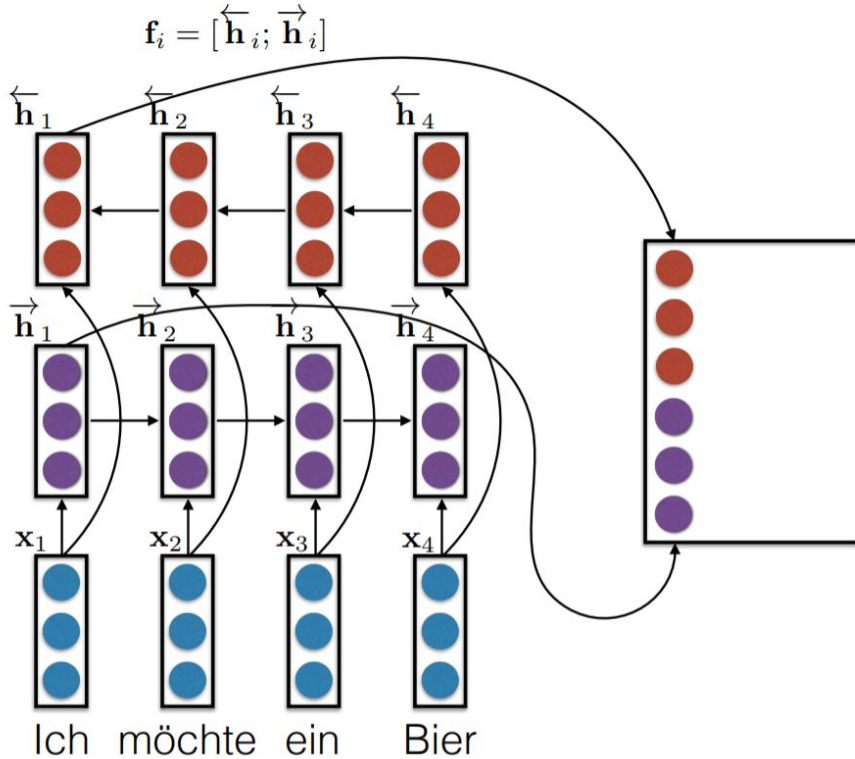
# Encoder: Bidirectional RNN



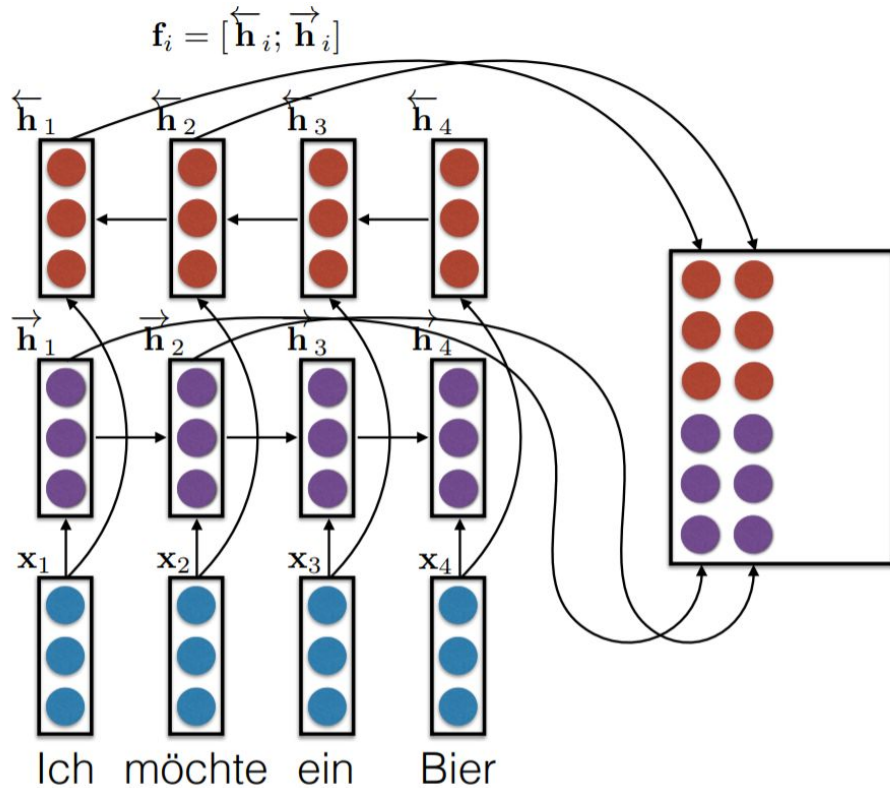
# Encoder: Bidirectional RNN



# Encoder: Bidirectional RNN

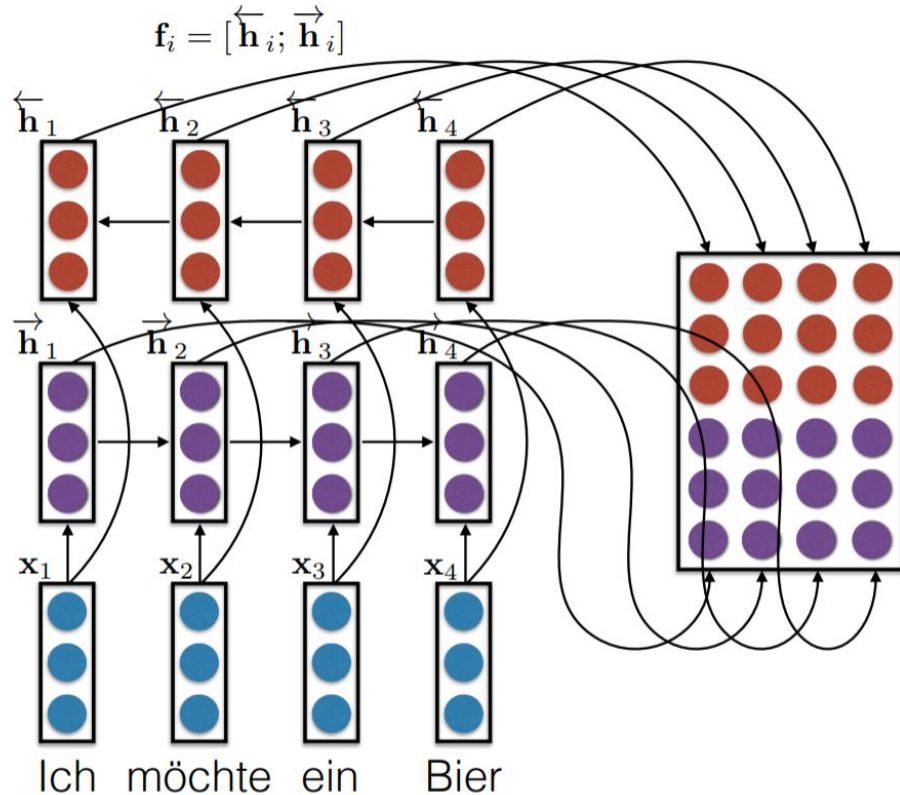


# Encoder: Bidirectional RNN

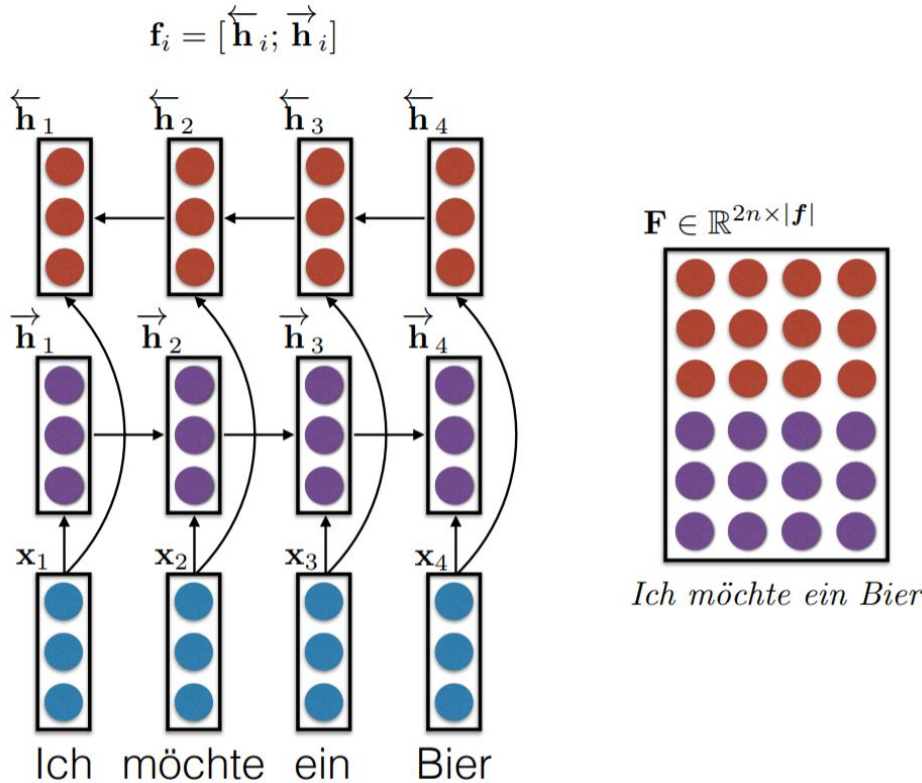




# Encoder: Bidirectional RNN

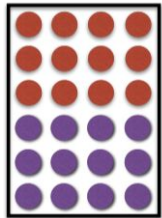
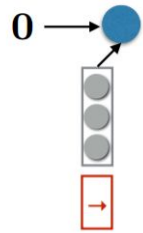


# Matrix Sentence Encoding

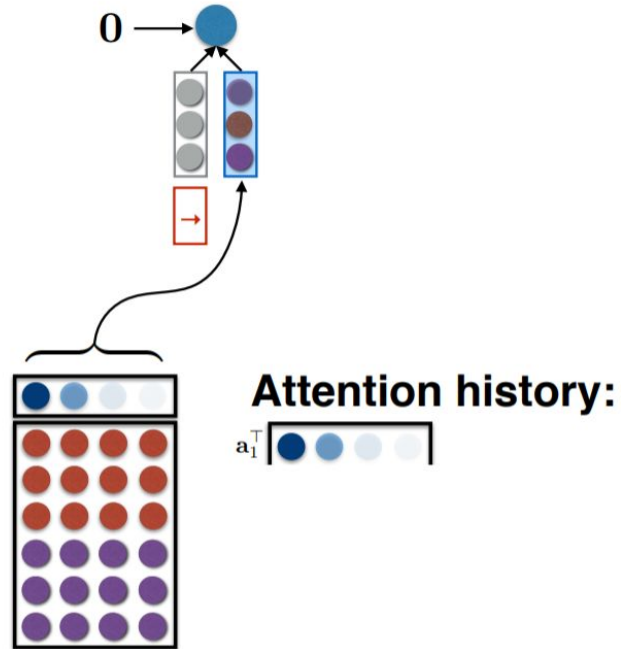


- matrix-encoded sentence

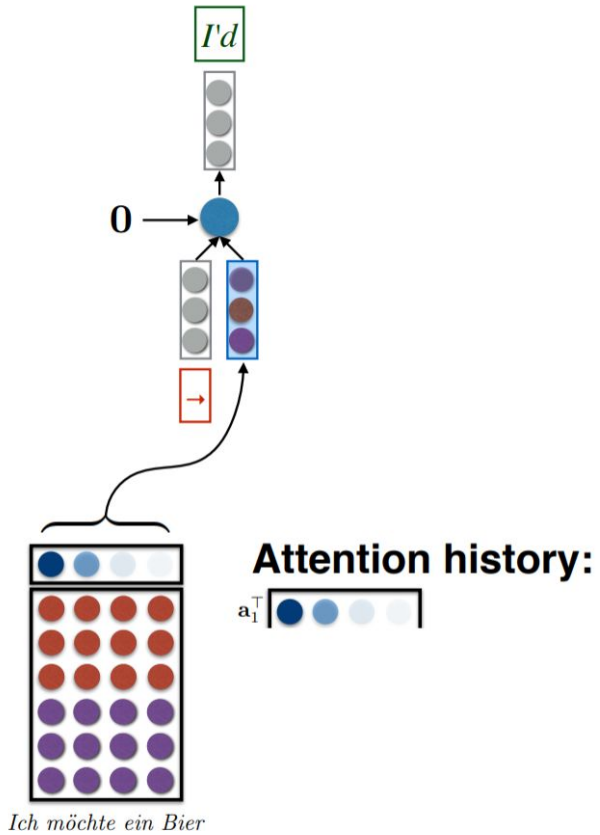
# Decoder: RNN + Attention

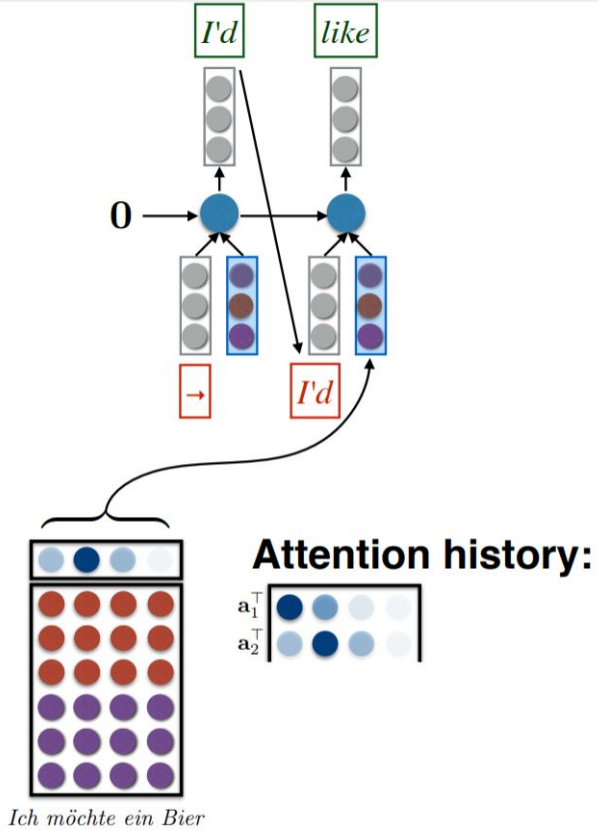


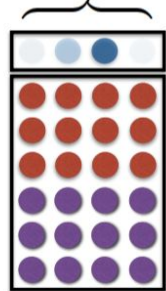
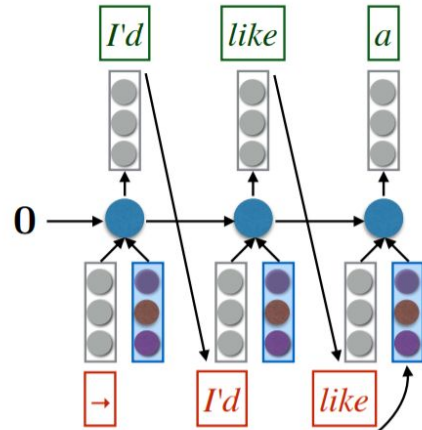
*Ich möchte ein Bier*



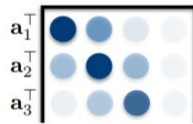
Ich möchte ein Bier



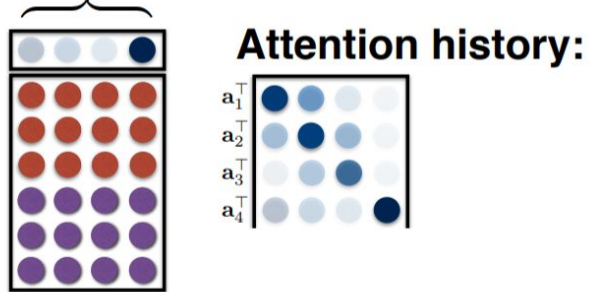
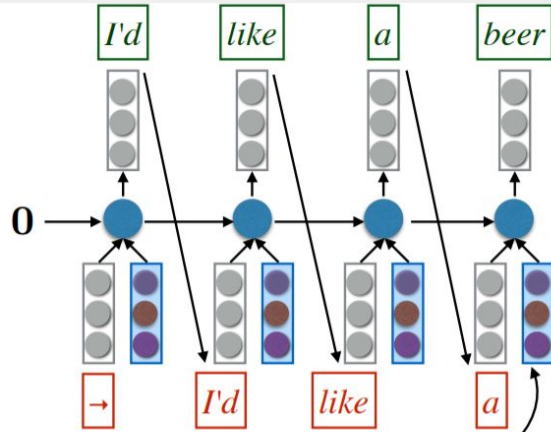




Attention history:

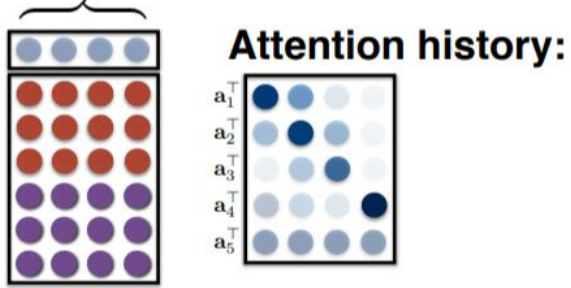
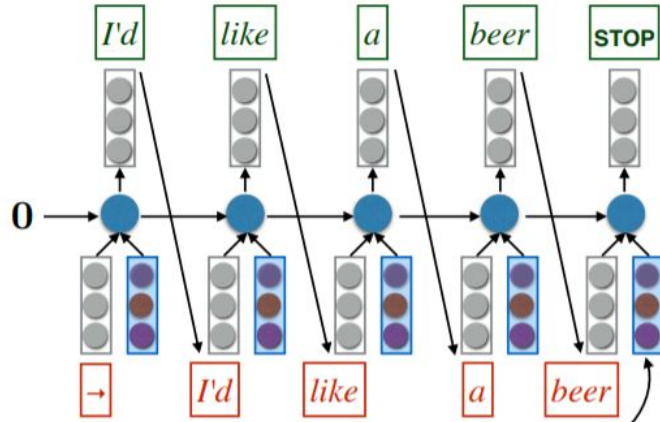


Ich möchte ein Bier



Ich möchte ein Bier





*Ich möchte ein Bier*