

# Natural Language Processing

## Syntactic parsing

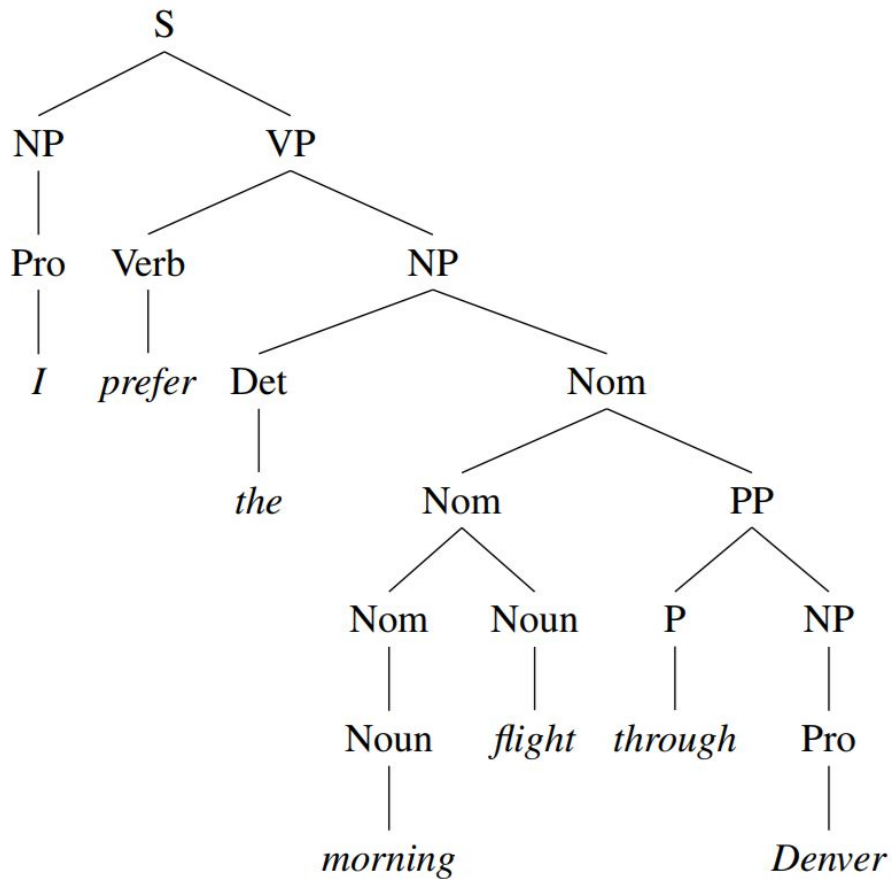
Yulia Tsvetkov

[yuliats@cs.washington.edu](mailto:yuliats@cs.washington.edu)



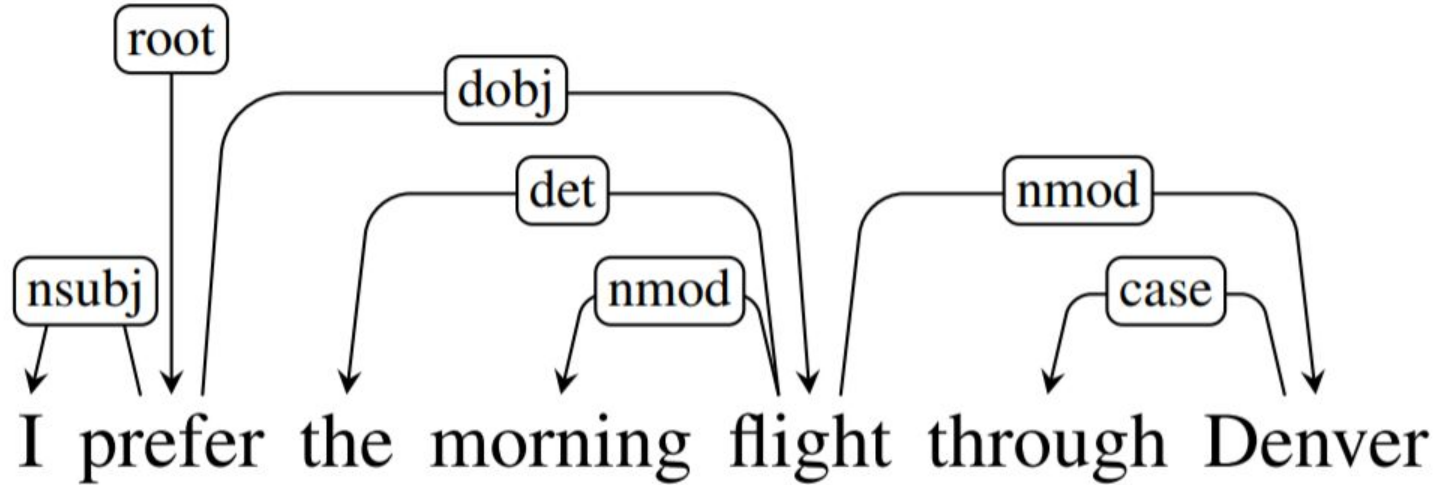
# Constituent (phrase-structure) representation

---



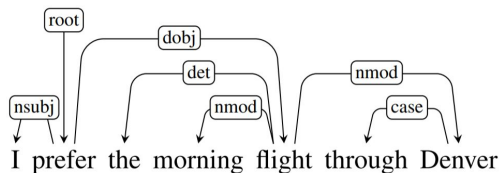


# Dependency representation





# Dependency representation



- A dependency structure can be defined as a directed graph  $G$ , consisting of
  - a set  $V$  of nodes – **vertices**, *words, punctuation, morphemes*
  - a set  $A$  of arcs – **directed edges**,
  - a linear precedence order  $<$  on  $V$  (**word order**).
- **Labeled graphs**
  - nodes in  $V$  are labeled with word forms (and annotation).
  - arcs in  $A$  are labeled with dependency types
  - $L = \{l_1, \dots, l_{|L|}\}$  is the set of permissible arc labels;
  - Every arc in  $A$  is a triple  $(i, j, k)$ , representing a dependency from  $w_i$  to  $w_j$  with label  $l_k$ .



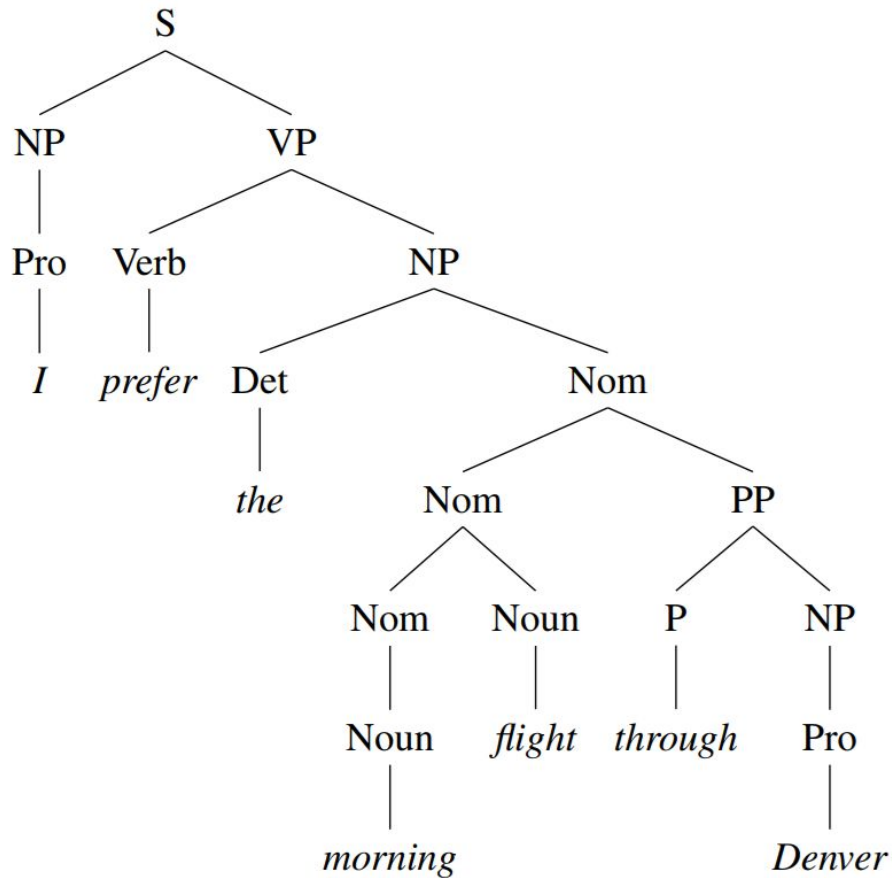
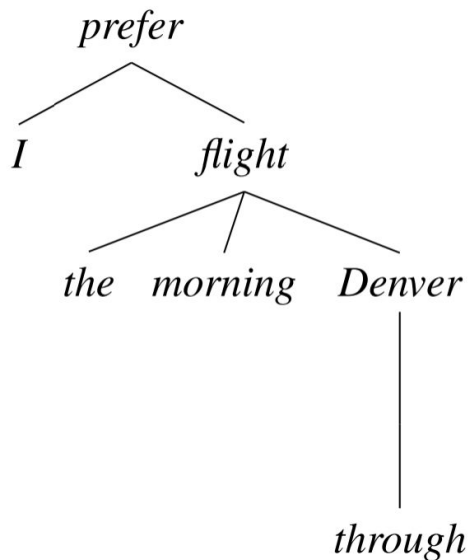
# Dependency vs Constituency

---

- **Dependency structures explicitly represent**
  - head-dependent relations (directed arcs),
  - functional categories (arc labels)
  - possibly some structural categories (parts of speech)
  
- **Phrase (aka constituent) structures explicitly represent**
  - phrases (nonterminal nodes),
  - structural categories (nonterminal labels)



# Dependency vs Constituency trees



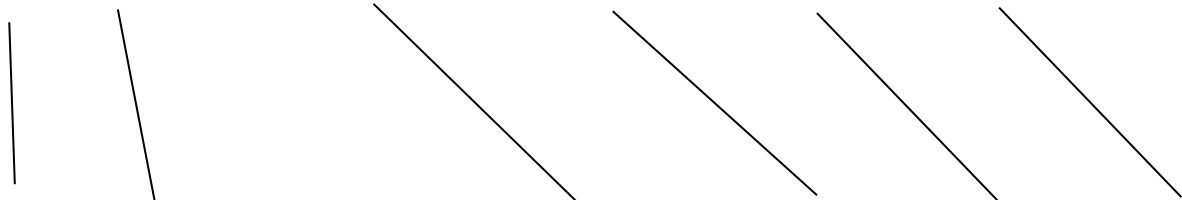


# Parsing Languages with Flexible Word Order

---

I prefer the morning flight through Denver

Я предпочитаю утренний перелет через Денвер





# Languages with free word order

---

I prefer the morning flight through Denver

Я предпочитаю утренний перелет через Денвер

Я предпочитаю через Денвер утренний перелет

Утренний перелет я предпочитаю через Денвер

Перелет утренний я предпочитаю через Денвер

Через Денвер я предпочитаю утренний перелет

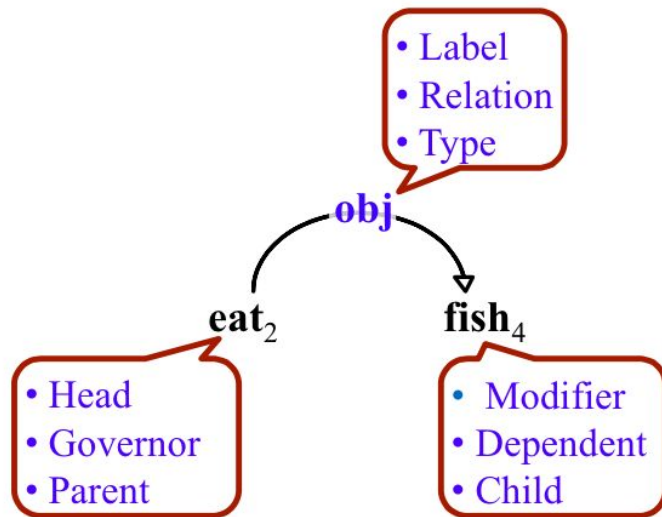
Я через Денвер предпочитаю утренний перелет





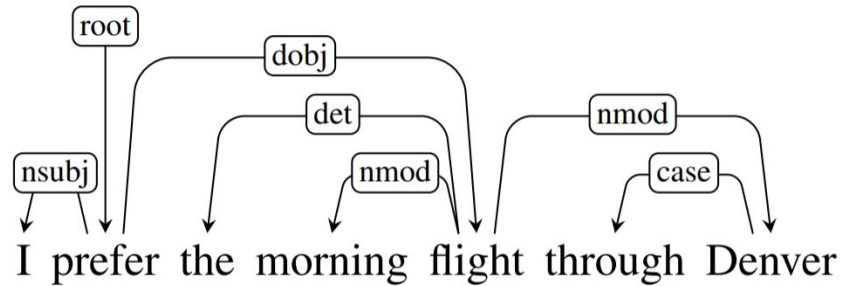
# Dependency relations

---





# Types of relationships



- The clausal relations NSUBJ and DOBJ identify the **arguments**: the subject and direct object of the predicate *cancel*
- The NMOD, DET, and CASE relations denote **modifiers** of the nouns *flights* and *Houston*.



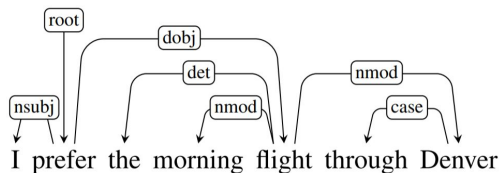
# Grammatical functions

<b>Clausal Argument Relations</b>	<b>Description</b>
NSUBJ	Nominal subject
DOBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
XCOMP	Open clausal complement
<b>Nominal Modifier Relations</b>	<b>Description</b>
NMOD	Nominal modifier
AMOD	Adjectival modifier
NUMMOD	Numeric modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
<b>Other Notable Relations</b>	<b>Description</b>
CONJ	Conjunct
CC	Coordinating conjunction

**Figure 13.2** Selected dependency relations from the Universal Dependency set. (de Marneffe et al., 2014)



# Dependency Constraints



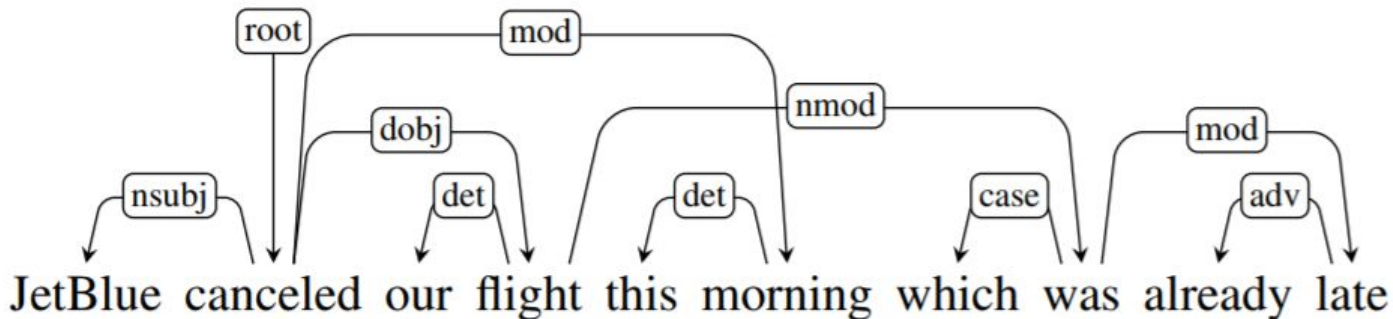
- Syntactic structure is complete (**connectedness**)
  - connectedness can be enforced by adding a special root node
- Syntactic structure is hierarchical (**acyclicity**)
  - there is a unique pass from the root to each vertex
- Every word has at most one syntactic head (**single-head constraint**)
  - except root that does not have incoming arcs

This makes the dependencies a tree



# Projectivity

- Projective parse
  - arcs don't cross each other
  - mostly true for English
- Non-projective structures are needed to account for
  - long-distance dependencies
  - flexible word order





# Projectivity

---

- Dependency grammars do not normally assume that all dependency-trees are projective, because some linguistic phenomena can only be achieved using non-projective trees.
- But a lot of parsers assume that the output trees are projective
- Reasons
  - conversion from constituency to dependency
  - the most widely used families of parsing algorithms impose projectivity



# Detecting Projectivity/Non-Projectivity

---

- The idea is to use the inorder traversal of the tree: <left-child, root, right-child>
  - This is well defined for binary trees. We need to extend it to n-ary trees.
- If we have a projective tree, the inorder traversal will give us the original linear order.



# Non-Projective Statistics

---

Arabic:	11.2 %
Bulgarian:	5.4 %
Chinese:	0.0 %
<b>Czech:</b>	<b>23.2 %</b>
<b>Danish:</b>	<b>15.6 %</b>
<b>Dutch:</b>	<b>36.4 %</b>
<b>German:</b>	<b>27.8 %</b>
Japanese:	5.3 %
<b>Polish:</b>	<b>18.9 %</b>
<b>Slovene:</b>	<b>22.2 %</b>
Spanish	1.7 %
Swedish:	9.8 %
Turkish:	11.6 %
English:	0.0% (SD: 0.1%)

Percentage of non-projective trees for some treebanks of the CoNLL-X Shared Task and English.





# Dependency Treebanks

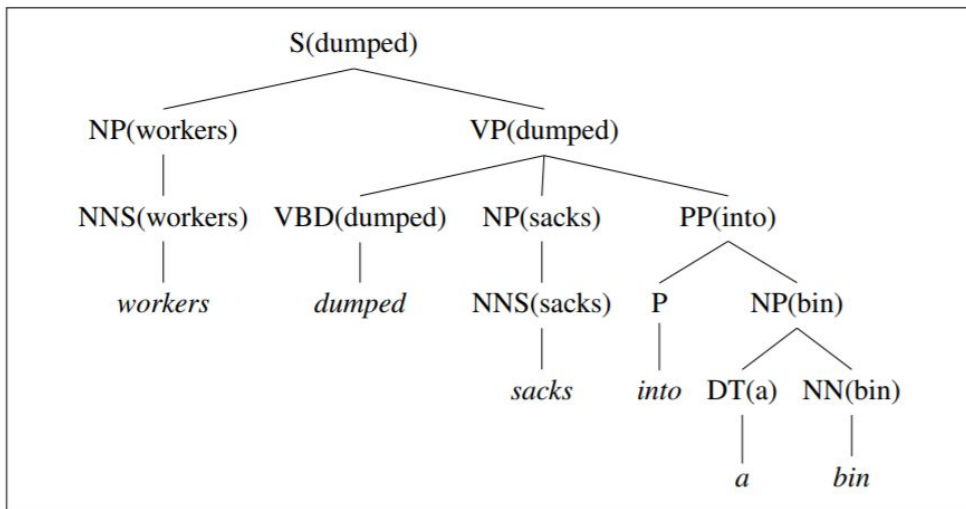
---

- the major English dependency treebanks converted from the WSJ sections of the PTB (Marcus et al., 1993)
- OntoNotes project (Hovy et al. 2006, Weischedel et al. 2011) adds conversational telephone speech, weblogs, usenet newsgroups, broadcast, and talk shows in English, Chinese and Arabic
- annotated dependency treebanks created for morphologically rich languages such as Czech, Hindi and Finnish, eg Prague Dependency Treebank (Bejcek et al., 2013)
- <http://universaldependencies.org/>
  - 150 treebanks, 90 languages



# Conversion from constituency to dependency

- Xia and Palmer (2001)
  - mark the head child of each node in a phrase structure, using the appropriate head rules
  - make the head of each non-head child depend on the head of the head-child



**Figure 10.11** A lexicalized tree from Collins (1999).



# Parsing problem

---

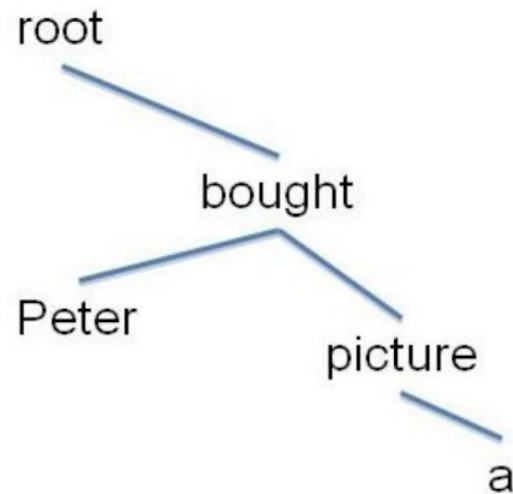
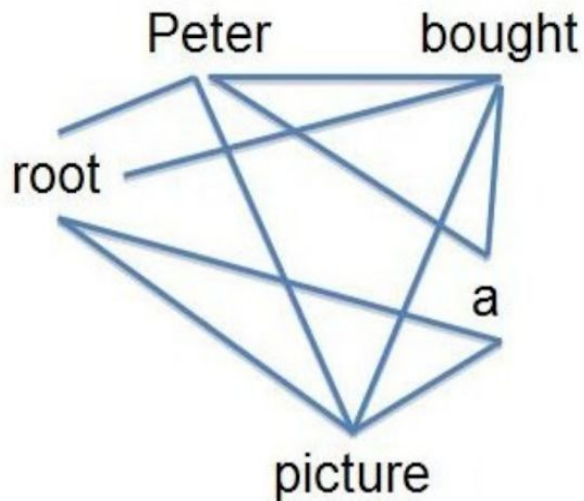
The parsing problem for a dependency parser is to find the optimal dependency tree  $y$  given an input sentence  $x$

This amounts to assigning a syntactic head  $i$  and a label  $l$  to every node  $j$  corresponding to a word  $x_j$  in such a way that the resulting graph is a tree rooted at the node 0



# Parsing problem

- This is equivalent to finding a spanning tree in the complete graph containing all possible arcs





# Parsing algorithms

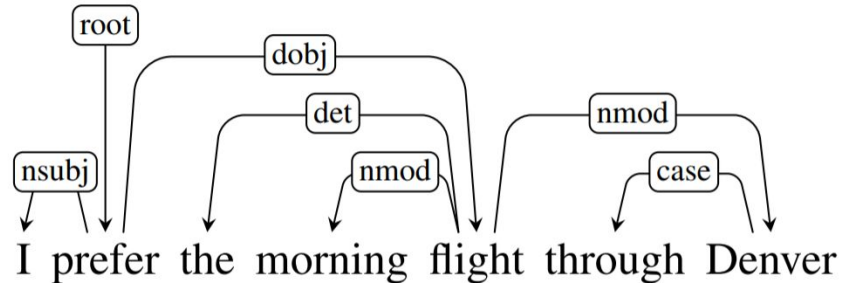
---

- **Transition based**
  - greedy choice of local transitions guided by a good classifier
  - deterministic
  - MaltParser (Nivre et al. 2008)
- **Graph based**
  - Minimum Spanning Tree for a sentence
  - McDonald et al.'s (2005) MSTParser
  - Martins et al.'s (2009) Turbo Parser



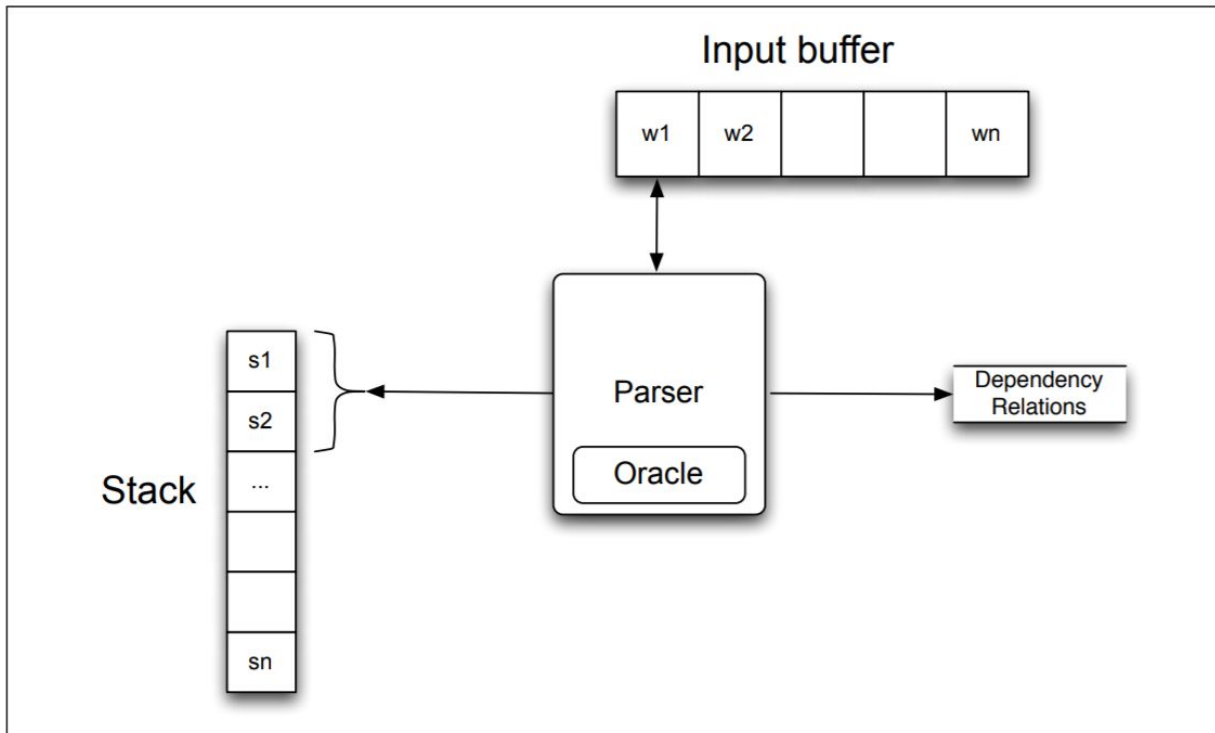
# Transition Based Parsing

- **greedy discriminative** dependency parser
- motivated by a stack-based approach called **shift-reduce parsing** originally developed for analyzing programming languages (Aho & Ullman, 1972).
- Nivre 2003





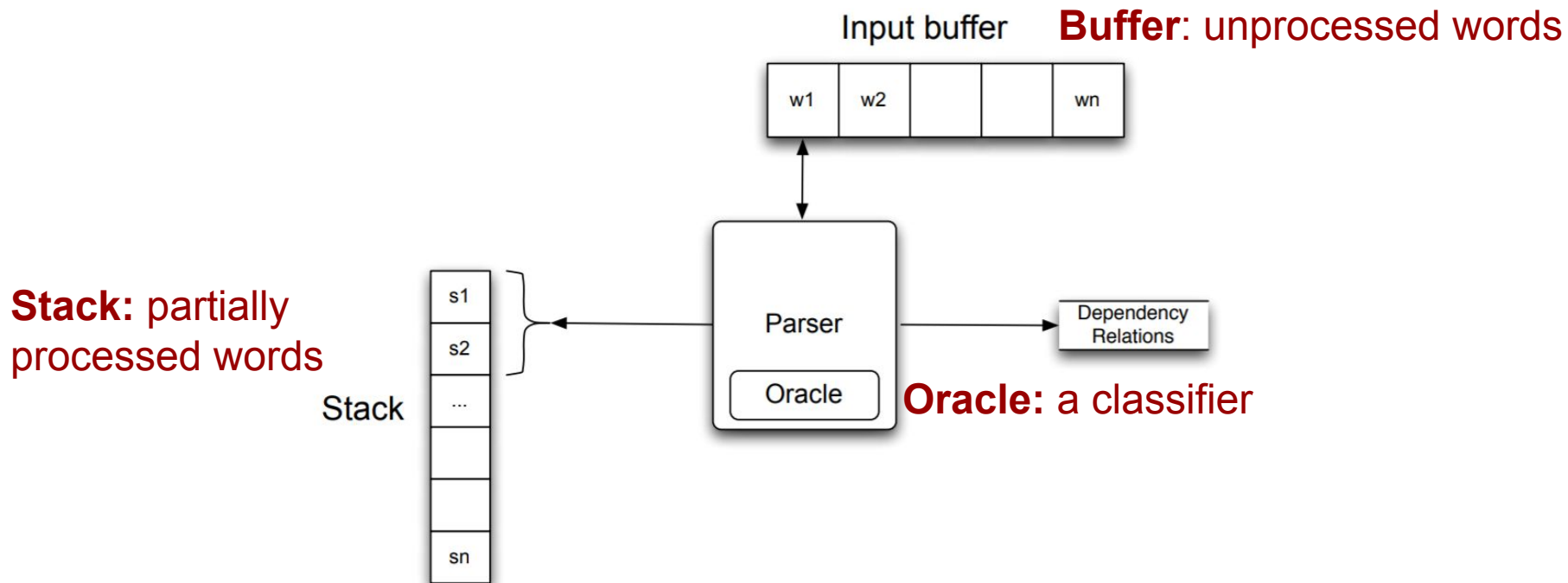
# Configuration



**Figure 13.5** Basic transition-based parser. The parser examines the top two elements of the stack and selects an action based on consulting an oracle that examines the current configuration.



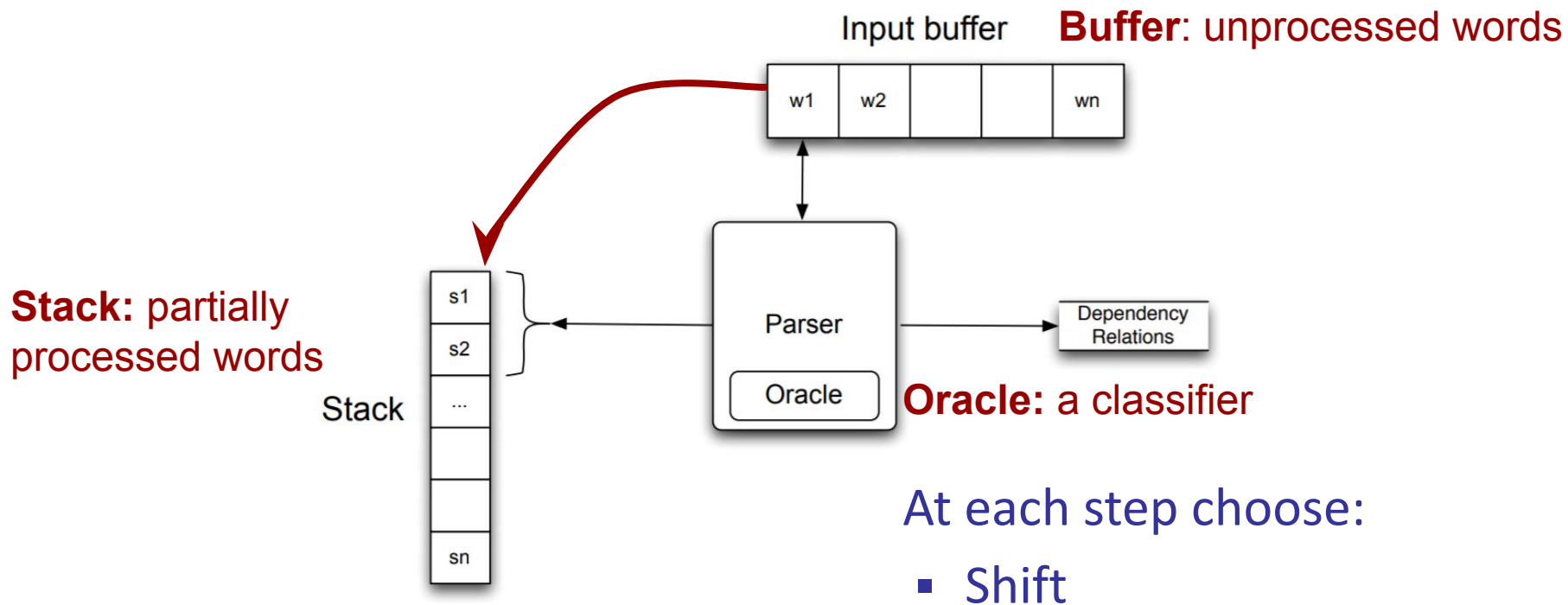
# Configuration





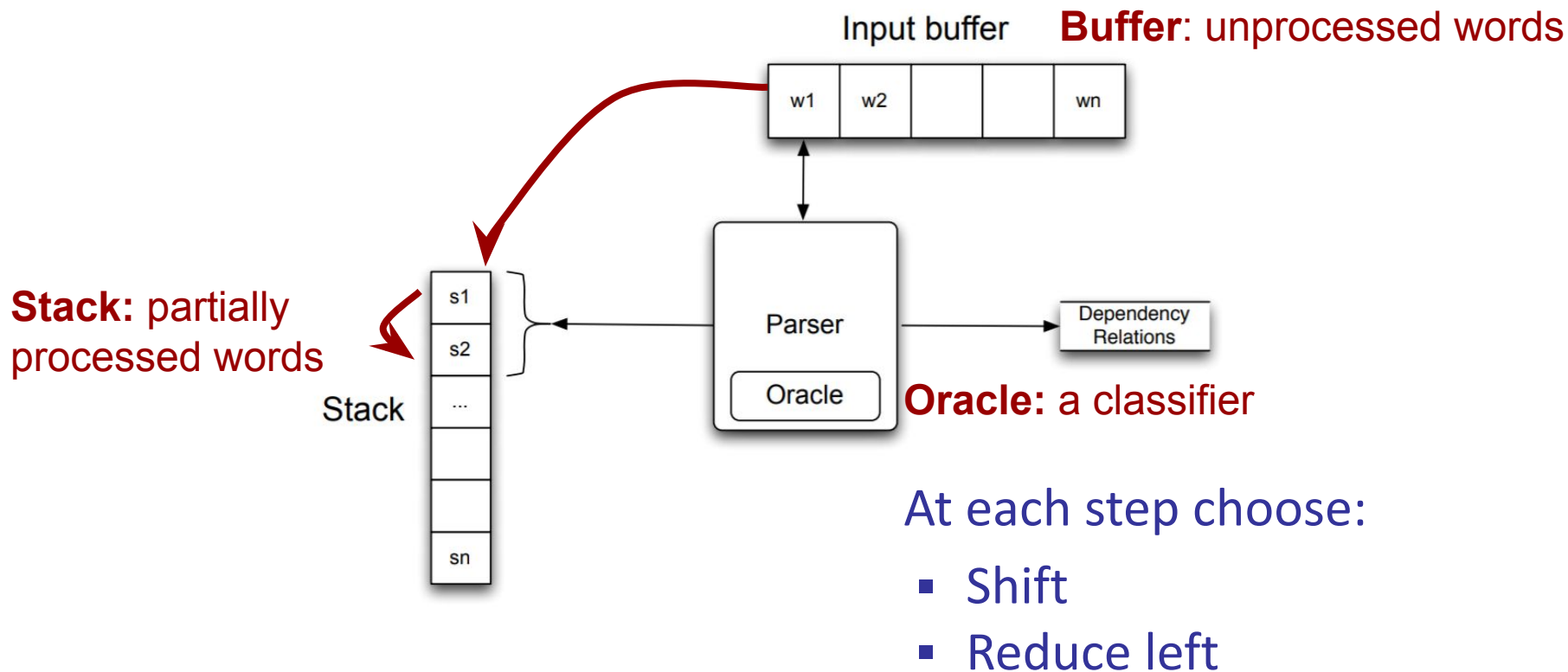


# Operations



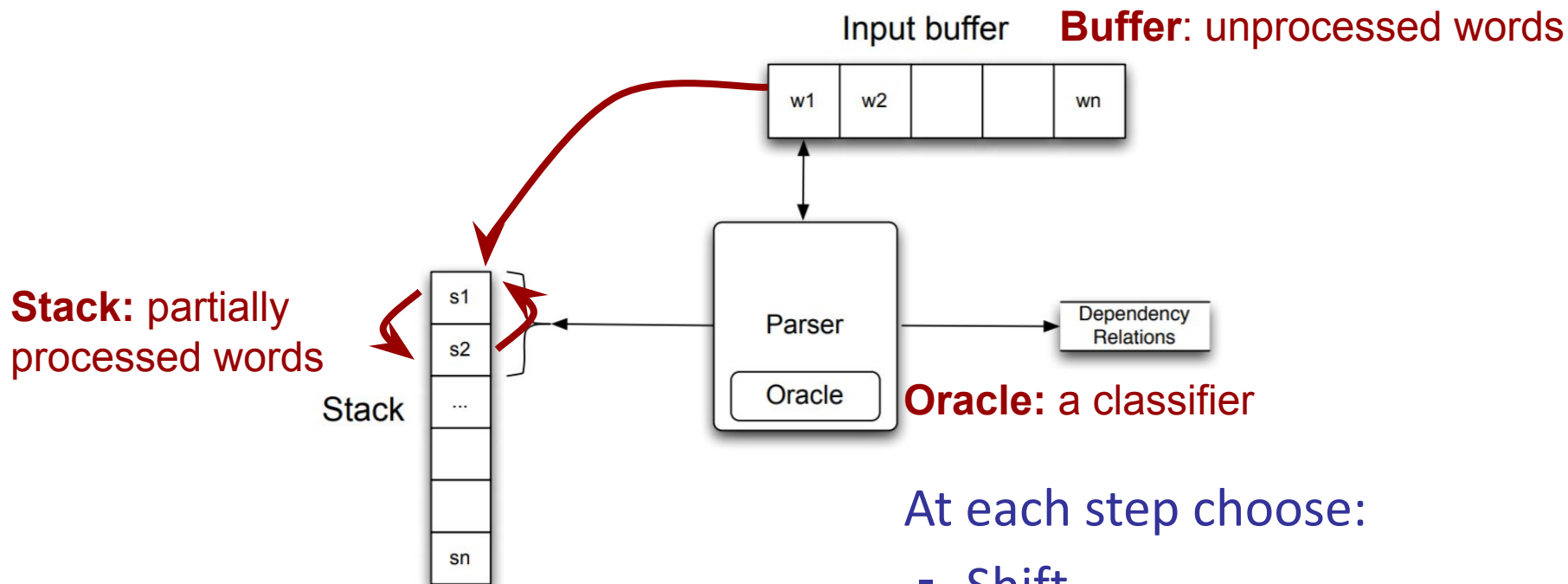


# Operations





# Operations



At each step choose:

- Shift
- LeftArc or Reduce left
- RightArc or Reduce right



# Shift-Reduce Parsing

---

## Configuration:

- Stack, Buffer, Oracle, Set of dependency relations

## Operations by a classifier at each step:

- **Shift**
  - remove  $w_1$  from the buffer, add it to the top of the stack as  $s_1$
- **LeftArc or Reduce left**
  - assert a head-dependent relation between  $s_1$  and  $s_2$
  - remove  $s_2$  from the stack
- **RightArc or Reduce right**
  - assert a head-dependent relation between  $s_2$  and  $s_1$
  - remove  $s_1$  from the stack



# Shift-Reduce Parsing

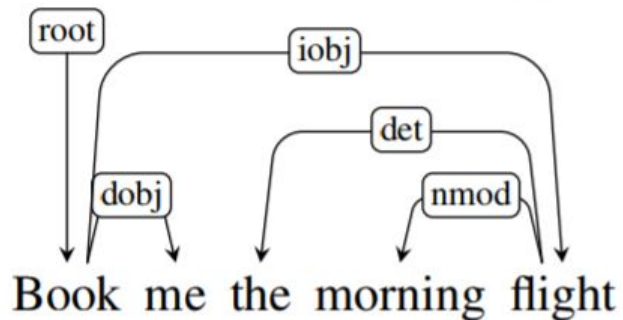
---

Book me the morning flight

Step	Stack	Word List	Action	Relation Added
------	-------	-----------	--------	----------------



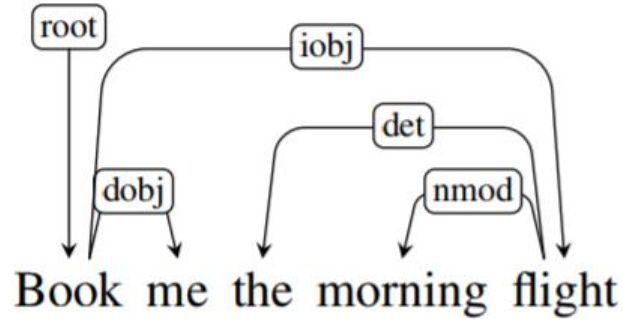
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]		



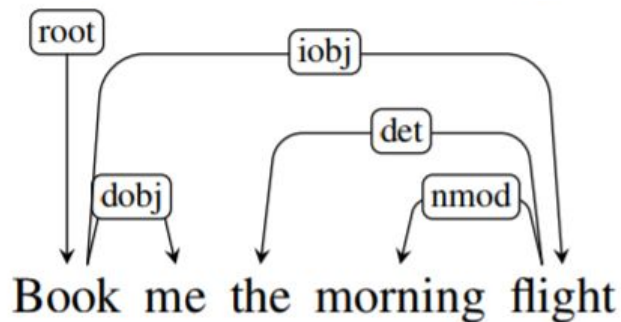
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]		



# Shift-Reduce Parsing

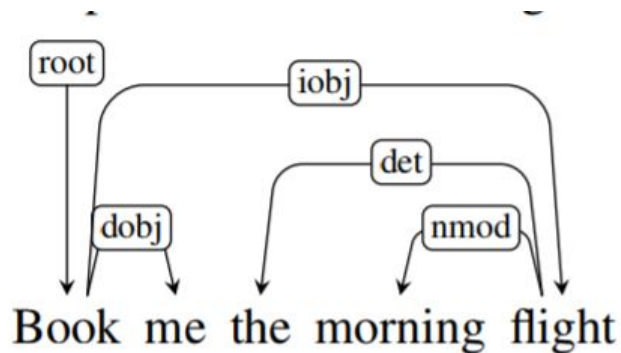


Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]		





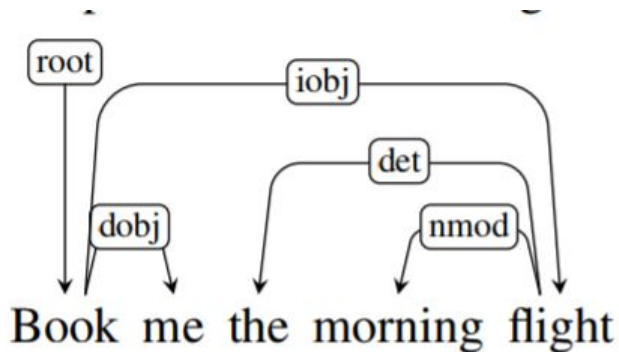
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	



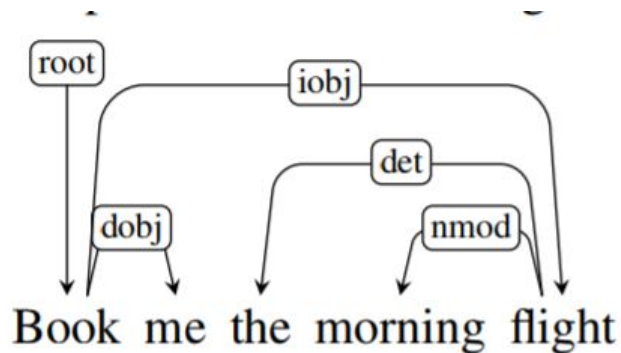
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]		



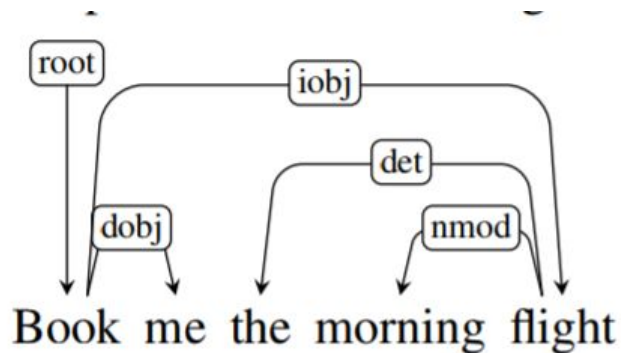
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	



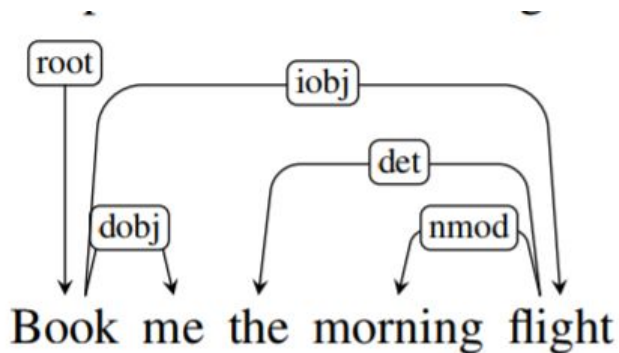
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)



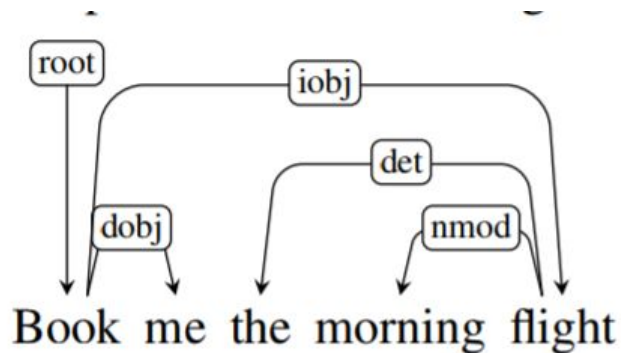
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]		



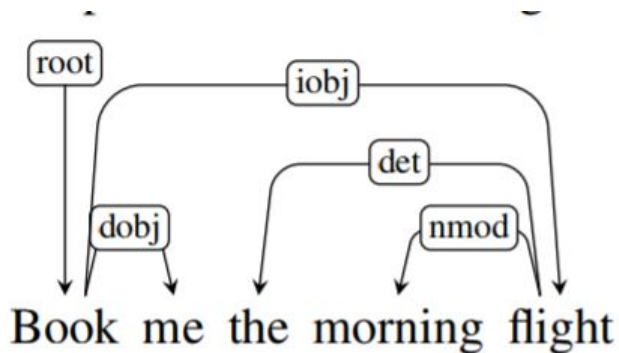
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	



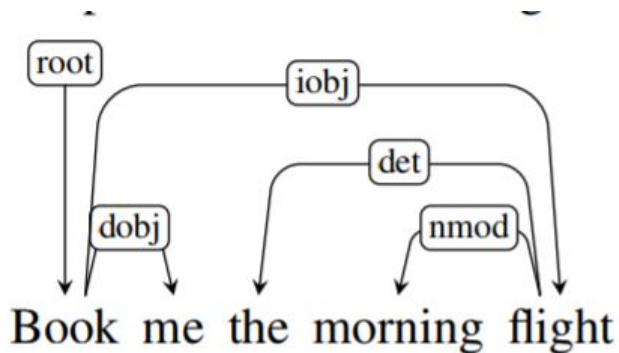
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]		



# Shift-Reduce Parsing

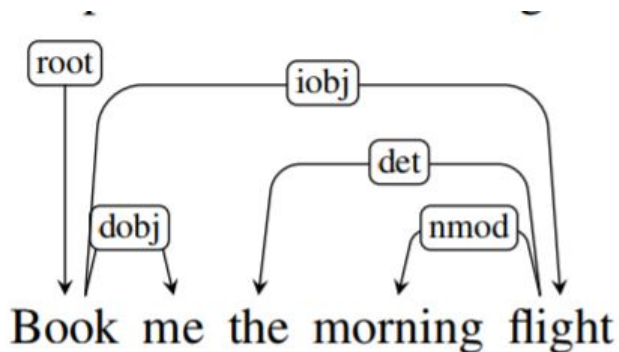


Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	





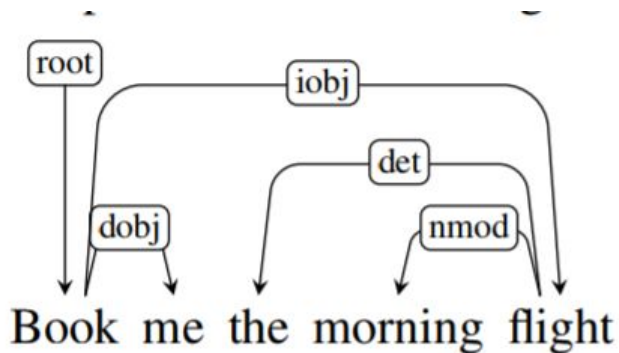
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]		



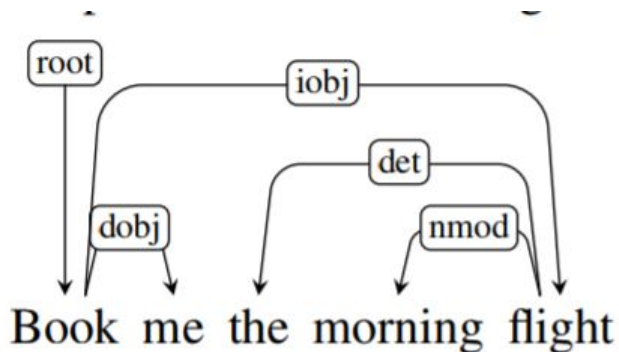
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	



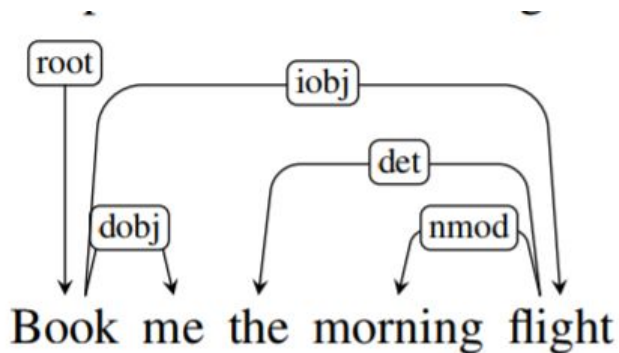
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]		



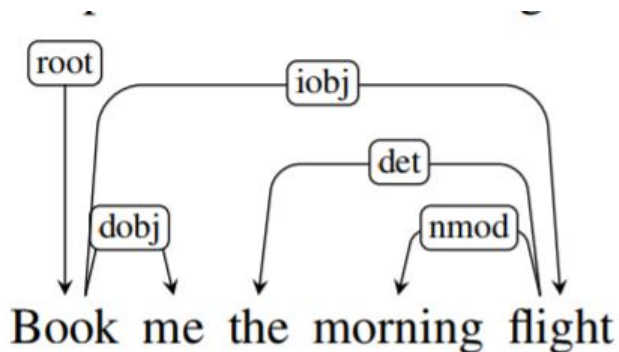
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]	LEFTARC	(morning ← flight)



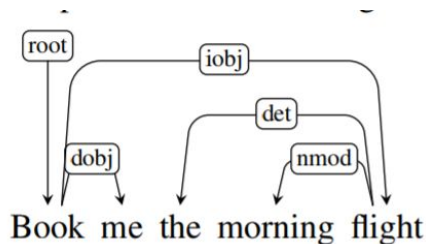
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]	LEFTARC	(morning ← flight)
7	[root, book, the, flight]	[]	LEFTARC	(the ← flight)



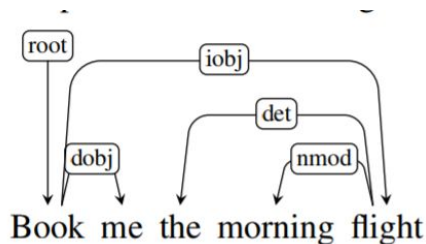
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]	LEFTARC	(morning ← flight)
7	[root, book, the, flight]	[]	LEFTARC	(the ← flight)
8	[root, book, flight]	[]	RIGHTARC	(book → flight)



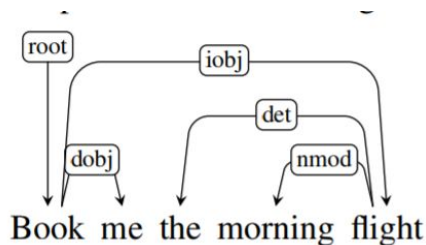
# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]	LEFTARC	(morning ← flight)
7	[root, book, the, flight]	[]	LEFTARC	(the ← flight)
8	[root, book, flight]	[]	RIGHTARC	(book → flight)
9	[root, book]	[]	RIGHTARC	(root → book)



# Shift-Reduce Parsing



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	(book → me)
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	
6	[root, book, the, morning, flight]	[]	LEFTARC	(morning ← flight)
7	[root, book, the, flight]	[]	LEFTARC	(the ← flight)
8	[root, book, flight]	[]	RIGHTARC	(book → flight)
9	[root, book]	[]	RIGHTARC	(root → book)
10	[root]	[]	Done	





# Shift-Reduce Parsing

---

Configuration:

- Stack, Buffer, Oracle, Set of dependency relations

Operations by a classifier at each step:

Complexity?

- Shift

- remove  $w_1$  from the buffer, add it to the top of the stack as  $s_1$

- LeftArc or Reduce left

- assert a head-dependent relation between
  - remove  $s_2$  from the stack

Oracle decisions can correspond to unlabeled or labeled arcs

- RightArc or Reduce right

- assert a head-dependent relation between  $s_2$  and  $s_1$
  - remove  $s_1$  from the stack



# Training an Oracle

---

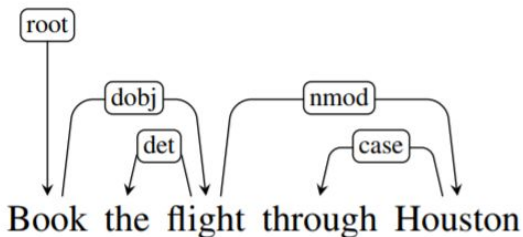
- Oracle is a supervised classifier that learns a function from the configuration to the next operation
- How to extract the training set?



# Training an Oracle

- How to extract the training set?

- if LeftArc  $\rightarrow$  LeftArc
- if RightArc
  - if s1 dependents have been processed  $\rightarrow$  RightArc
- else  $\rightarrow$  Shift

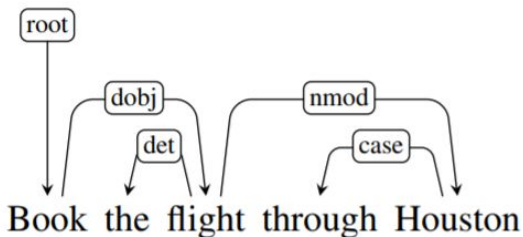




# Training an Oracle

## How to extract the training set?

- if LeftArc → LeftArc
- if RightArc
  - if s1 dependents have been processed → RightArc
- else → Shift



Step	Stack	Word List	Predicted Action
0	[root]	[book, the, flight, through, houston]	SHIFT
1	[root, book]	[the, flight, through, houston]	SHIFT
2	[root, book, the]	[flight, through, houston]	SHIFT
3	[root, book, the, flight]	[through, houston]	LEFTARC
4	→ [root, book, flight]	[through, houston]	SHIFT
5	[root, book, flight, through]	[houston]	SHIFT
6	[root, book, flight, through, houston]	[]	LEFTARC
7	[root, book, flight, houston]	[]	RIGHTARC
8	[root, book, flight]	[]	RIGHTARC
9	[root, book]	[]	RIGHTARC



# Training an Oracle

---

- Oracle is a supervised classifier that learns a function from the configuration to the next operation
- How to extract the training set?
  - if LeftArc  $\rightarrow$  LeftArc
  - if RightArc
    - if s1 dependents have been processed  $\rightarrow$  RightArc
  - else  $\rightarrow$  Shift
- What features to use?



# Features

- POS, word-forms, lemmas on the stack/buffer
- morphological features for some languages
- previous relations
- conjunction features (e.g. Zhang&Clark'08; Huang&Sagae'10; Zhang&Nivre'11)

$\langle s_1.w = flights, op = shift \rangle$

$\langle s_2.w = canceled, op = shift \rangle$

$\langle s_1.t = NNS, op = shift \rangle$

$\langle s_2.t = VBD, op = shift \rangle$

$\langle b_1.w = to, op = shift \rangle$

$\langle b_1.t = TO, op = shift \rangle$

$\langle s_1.wt = flightsNNS, op = shift \rangle$

$\langle s_1.t \circ s_2.t = NNSVBD, op = shift \rangle$

Source	Feature templates		
<b>One word</b>	$s_1.w$	$s_1.t$	$s_1.wt$
	$s_2.w$	$s_2.t$	$s_2.wt$
	$b_1.w$	$b_1.w$	$b_0.wt$
<b>Two word</b>	$s_1.w \circ s_2.w$	$s_1.t \circ s_2.t$	$s_1.t \circ b_1.w$
	$s_1.t \circ s_2.wt$	$s_1.w \circ s_2.w \circ s_2.t$	$s_1.w \circ s_1.t \circ s_2.t$
	$s_1.w \circ s_1.t \circ s_2.t$	$s_1.w \circ s_1.t$	



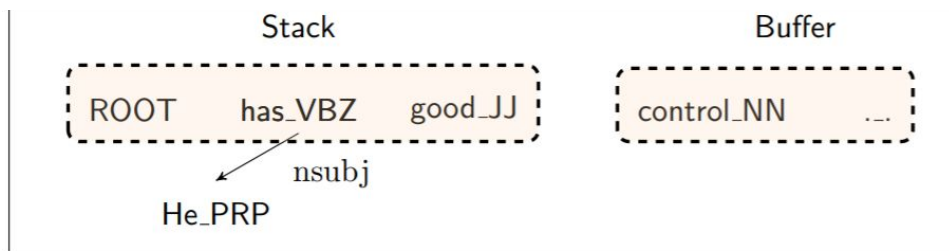
# Learning

---

- Before 2014: SVMs,
- After 2014: Neural Nets



# Chen & Manning 2014



binary, sparse  
dim =  $10^6 \sim 10^7$



Indicator  
features

$$\begin{aligned} s_2.w &= \text{has} \wedge s_2.t = \text{VBZ} \\ s_1.w &= \text{good} \wedge s_1.t = \text{JJ} \wedge b_1.w = \text{control} \\ lc(s_2).t &= \text{PRP} \wedge s_2.t = \text{VBZ} \wedge s_1.t = \text{JJ} \\ lc(s_2).w &= \text{He} \wedge lc(s_2).l = \text{nsubj} \wedge s_2.w = \text{has} \end{aligned}$$





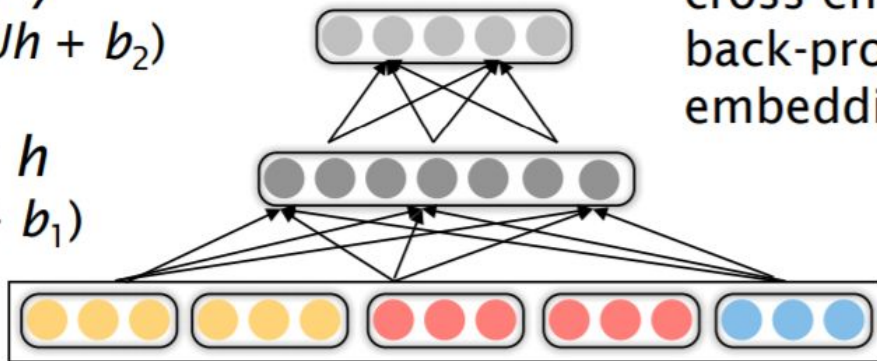
# Chen & Manning 2014

## Softmax probabilities

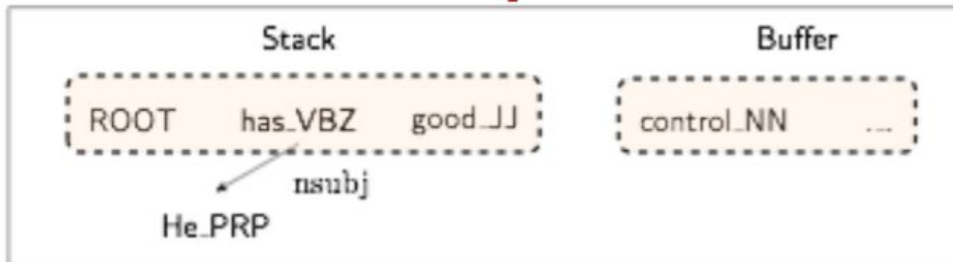
Output layer  $y$   
 $y = \text{softmax}(Uh + b_2)$

Hidden layer  $h$   
 $h = \text{ReLU}(Wx + b_1)$

Input layer  $x$   
lookup + concat



cross-entropy error will be back-propagated to the embeddings.

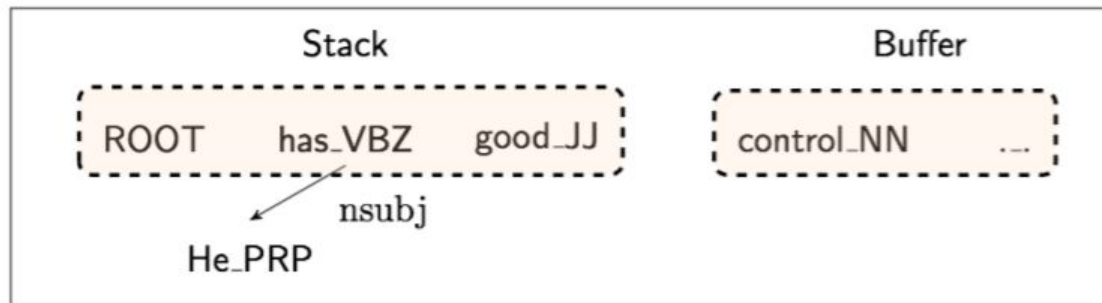




# Chen & Manning 2014

## ■ Features

- s1, s2, s3, b1, b2, b3
- leftmost/rightmost children of s1 and s2
- leftmost/rightmost grandchildren of s1 and s2
- POS tags for the above
- arc labels for children/grandchildren



	word	POS	dep.
s1	good	JJ	∅
s2	has	VBZ	∅
b1	control	NN	∅
lc(s1)	∅	+	∅
rc(s1)	∅	+	∅
lc(s2)	He	PRP	nsubj
rc(s2)	∅	∅	∅



# Evaluation of Dependency Parsers

---

$$\frac{\#correct\ dependencies}{\#of\ dependencies}$$

- LAS - labeled attachment score
- UAS - unlabeled attachment score



# Chen & Manning 2014

---

Parser	UAS	LAS	sent. / s
MaltParser	89.8	87.2	469
MSTParser	91.4	88.1	10
TurboParser	<b>92.3*</b>	89.6*	8
C & M 2014	92.0	<b>89.7</b>	<b>654</b>



# Follow-up

---

Method	UAS	LAS (PTB WSJ SD 3.3)
Chen & Manning 2014	92.0	89.7
Weiss et al. 2015	93.99	92.05
Andor et al. 2016	94.61	92.79



# Stack LSTMs (Dyer et al. 2015)

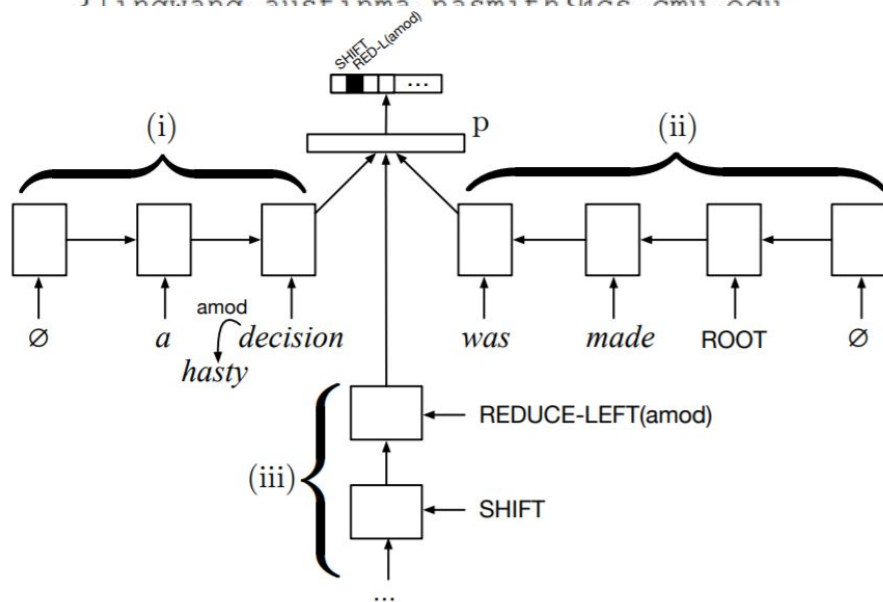
## Transition-Based Dependency Parsing with Stack Long Short-Term Memory

Chris Dyer<sup>♣♣</sup> Miguel Ballesteros<sup>◇♣</sup> Wang Ling<sup>♣</sup> Austin Matthews<sup>♣</sup> Noah A. Smith<sup>♣</sup>

<sup>♣♣</sup>Marianas Labs <sup>◇</sup>NLP Group, Pompeu Fabra University <sup>♣</sup>Carnegie Mellon University

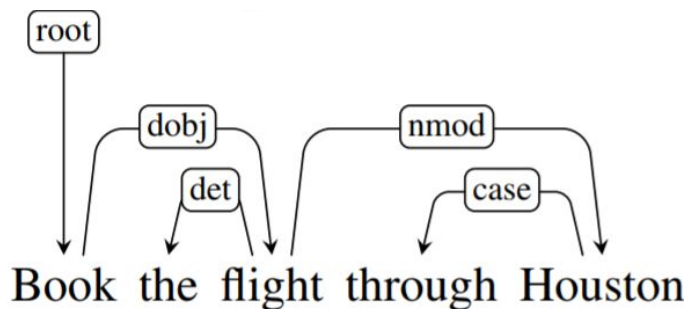
chris@marianaslabs.com, miguel.ballesteros@upf.edu,

flingwang, austinma, noasmith@cs.cmu.edu





# Arc-Eager



- LEFTARC: Assert a head-dependent relation between  $s_1$  and  $b_1$ ; pop the stack.
- RIGHTARC: Assert a head-dependent relation between  $s_1$  and  $b_1$ ; shift  $b_1$  to be  $s_1$ .
- SHIFT: Remove  $b_1$  and push it to be  $s_1$ .
- REDUCE: Pop the stack.



# Arc-Eager

Step	Stack	Word List	Action	Relation Added
0	[root]	[book, the, flight, through, houston]	RIGHTARC	(root → book)
1	[root, book]	[the, flight, through, houston]	SHIFT	
2	[root, book, the]	[flight, through, houston]	LEFTARC	(the ← flight)
3	[root, book]	[flight, through, houston]	RIGHTARC	(book → flight)
4	[root, book, flight]	[through, houston]	SHIFT	
5	[root, book, flight, through]	[houston]	LEFTARC	(through ← houston)
6	[root, book, flight]	[houston]	RIGHTARC	(flight → houston)
7	[root, book, flight, houston]	[]	REDUCE	
8	[root, book, flight]	[]	REDUCE	
9	[root, book]	[]	REDUCE	
10	[root]	[]	Done	





# Beam Search

**function** DEPENDENCYBEAMPARSE(*words*, *width*) **returns** dependency tree

*state*  $\leftarrow$  {[root], [*words*], [], 0.0} ;initial configuration  
*agenda*  $\leftarrow$   $\langle$ *state* $\rangle$ ; initial agenda

**while** *agenda* **contains** non-final states

*newagenda*  $\leftarrow$   $\langle$  $\rangle$

**for each** *state*  $\in$  *agenda* **do**

**for all**  $\{t \mid t \in \text{VALIDOPERATORS}(state)\}$  **do**

*child*  $\leftarrow$  APPLY(*t*, *state*)

*newagenda*  $\leftarrow$  ADDBEAM(*child*, *newagenda*, *width*)

*agenda*  $\leftarrow$  *newagenda*

**return** BESTOF(*agenda*)

**function** ADDBEAM(*state*, *agenda*, *width*) **returns** updated agenda

**if** LENGTH(*agenda*)  $<$  *width* **then**

*agenda*  $\leftarrow$  INSERT(*state*, *agenda*)

**else if** SCORE(*state*)  $>$  SCORE(WORSTOF(*agenda*))

*agenda*  $\leftarrow$  REMOVE(WORSTOF(*agenda*))

*agenda*  $\leftarrow$  INSERT(*state*, *agenda*)

**return** *agenda*



# Parsing algorithms

---

- **Transition based**

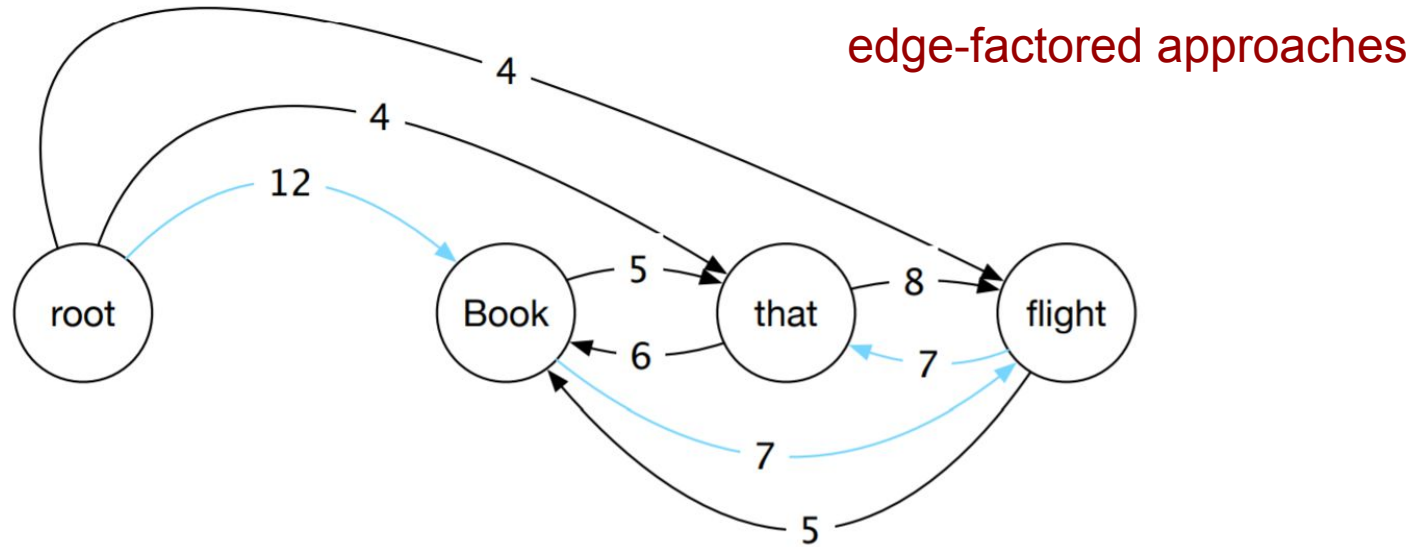
- greedy choice of local transitions guided by a good classifier
- deterministic
- MaltParser (Nivre et al. 2008), Stack LSTM (Dyer et al. 2015)

- **Graph based**

- Minimum Spanning Tree for a sentence
- non-projective
- globally optimized
- McDonald et al.'s (2005) MSTParser
- Martins et al.'s (2009) Turbo Parser



# Graph-Based Parsing Algorithms



- Start with a fully-connected directed graph
- Find a Minimum Spanning Tree
  - Chu and Liu (1965) and Edmonds (1967) algorithm



# Chu-Liu Edmonds algorithm

**function** MAXSPANNINGTREE( $G=(V,E)$ ,  $root$ ,  $score$ ) **returns** *spanning tree*

$F \leftarrow []$

$T' \leftarrow []$

$score' \leftarrow []$

**for each**  $v \in V$  **do**

$bestInEdge \leftarrow \operatorname{argmax}_{e=(u,v) \in E} score[e]$

$F \leftarrow F \cup bestInEdge$

**for each**  $e=(u,v) \in E$  **do**

$score'[e] \leftarrow score[e] - score[bestInEdge]$

**if**  $T=(V,F)$  is a spanning tree **then return** it

**else**

$C \leftarrow$  a cycle in  $F$

$G' \leftarrow \text{CONTRACT}(G, C)$

$T' \leftarrow \text{MAXSPANNINGTREE}(G', root, score')$

$T \leftarrow \text{EXPAND}(T', C)$

**return**  $T$

Select best incoming edge for each node

Subtract its score from all incoming edges

Stopping condition

Contract nodes if there are cycles

Recursively compute MST

Expand contracted nodes

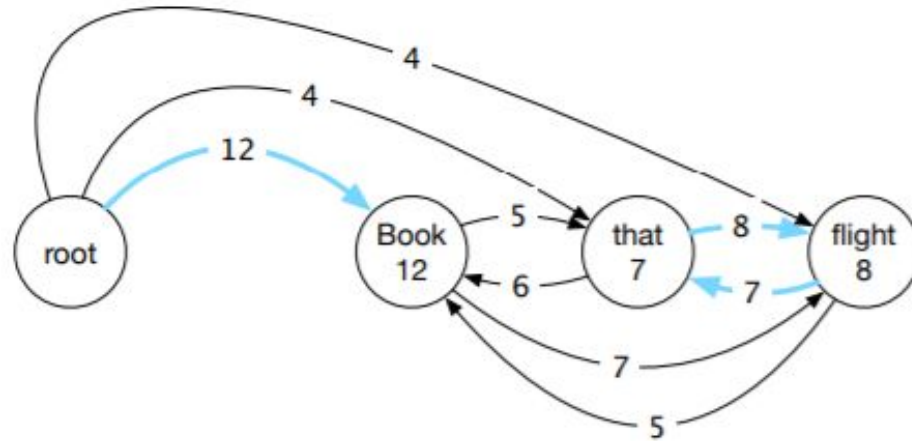
**function** CONTRACT( $G, C$ ) **returns** *contracted graph*

**function** EXPAND( $T, C$ ) **returns** *expanded graph*



# Chu-Liu Edmonds algorithm

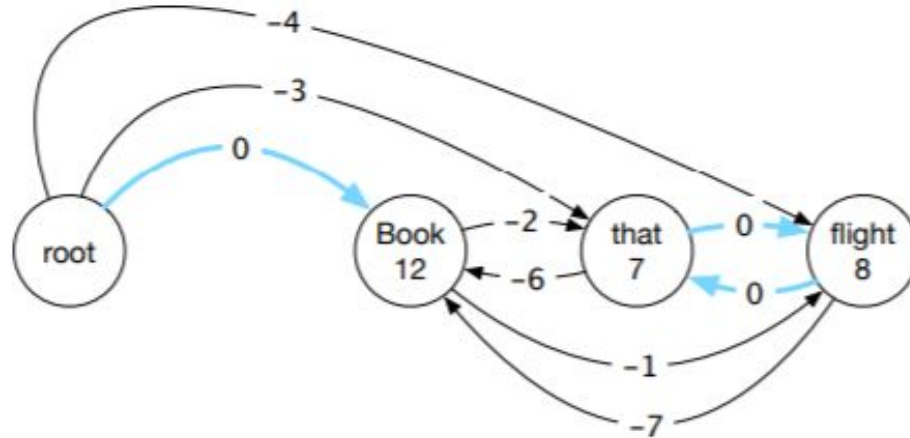
- Select best incoming edge for each node





# Chu-Liu Edmonds algorithm

- Subtract its score from all incoming edges

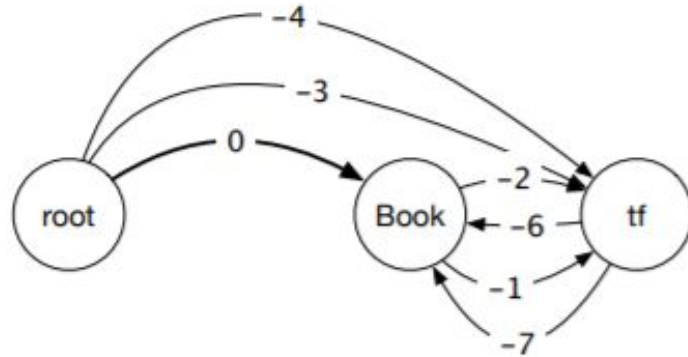




# Chu-Liu Edmonds algorithm

---

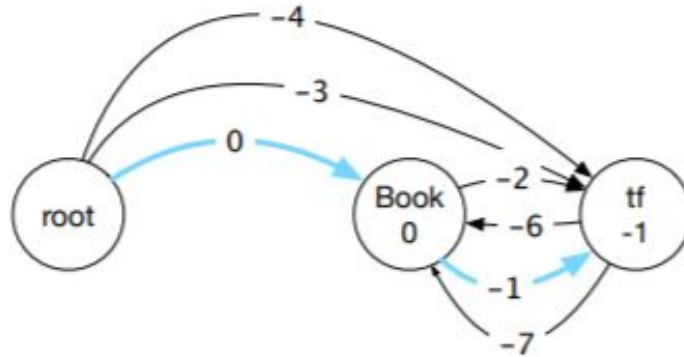
- Contract nodes if there are cycles





# Chu-Liu Edmonds algorithm

- Recursively compute MST

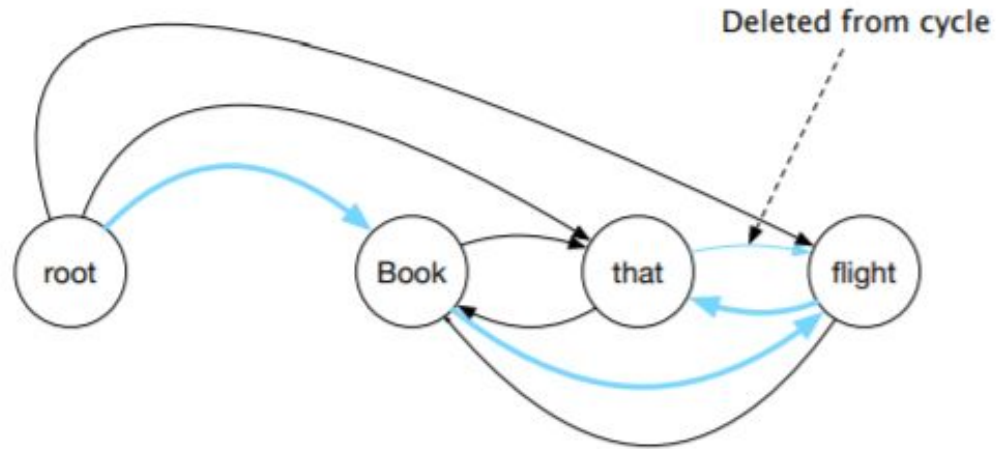






# Chu-Liu Edmonds algorithm

- Expand contracted nodes





# Scores

---

$$\text{score}(S, e) = w \cdot f$$

- Wordforms, lemmas, and parts of speech of the headword and its dependent.
- Corresponding features derived from the contexts before, after and between the words.
- Word embeddings.
- The dependency relation itself.
- The direction of the relation (to the right or left).
- The distance from the head to the dependent.



# Summary

---

- Transition-based
  - + Fast
  - + Rich features of context
  - - Greedy decoding
- Graph-based
  - + Exact or close to exact decoding
  - - Weaker features

Well-engineered versions of the approaches achieve comparable accuracy (on English), but make different errors

→ combining the strategies results in a substantial boost in performance